

Characterization of Unlabeled Level Planar Trees

Alejandro Estrella-Balderrama, J. Joseph Fowler, and Stephen G. Kobourov

Department of Computer Science, The University of Arizona

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Planarity – Overview

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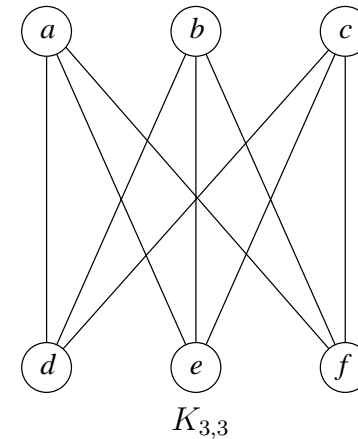
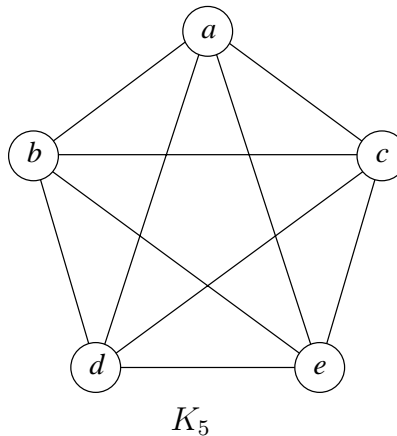
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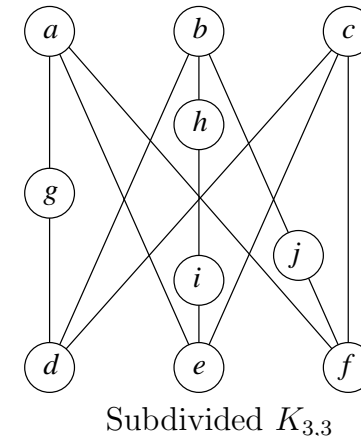
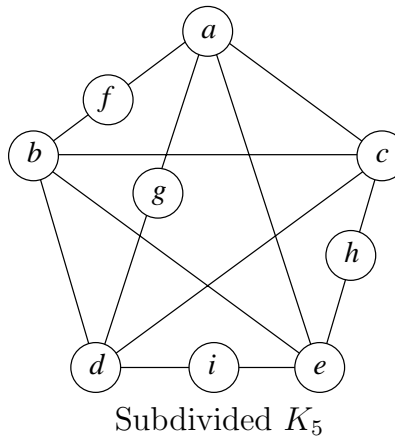
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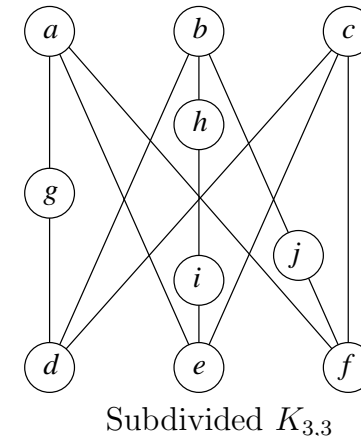
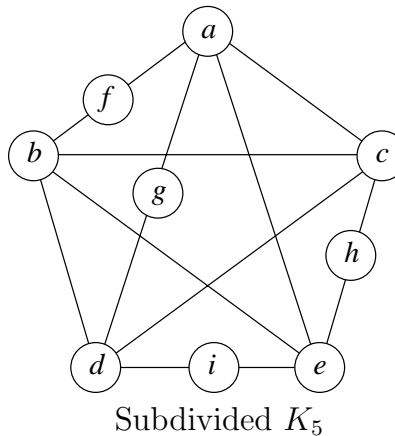


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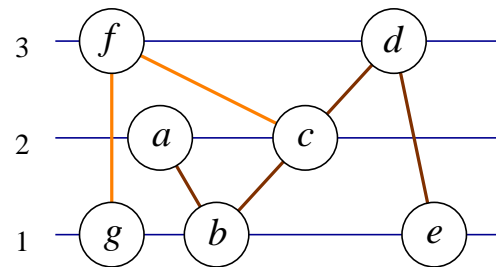
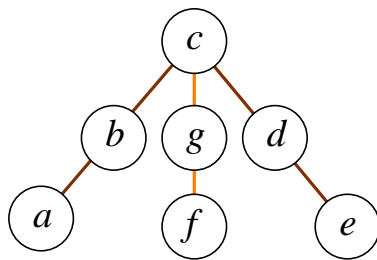
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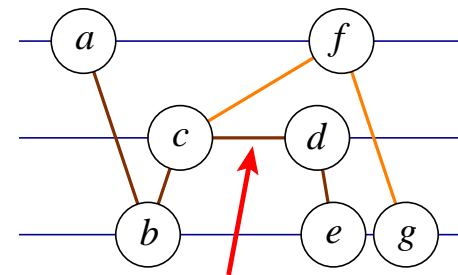
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- Have developed similar forbidden subdivision characterization for ULP trees



Level Planarity – Definitions

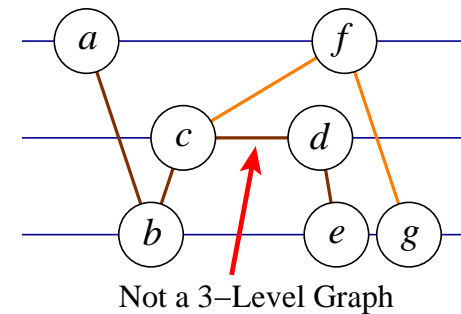
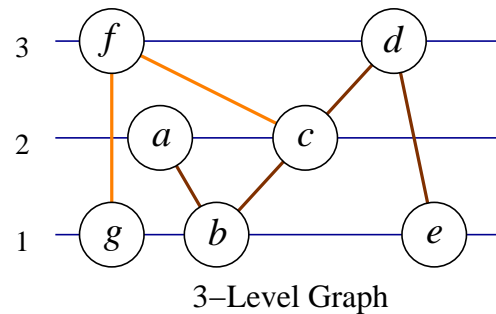
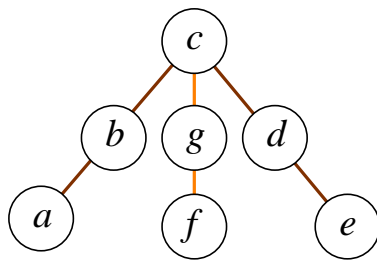


3-Level Graph





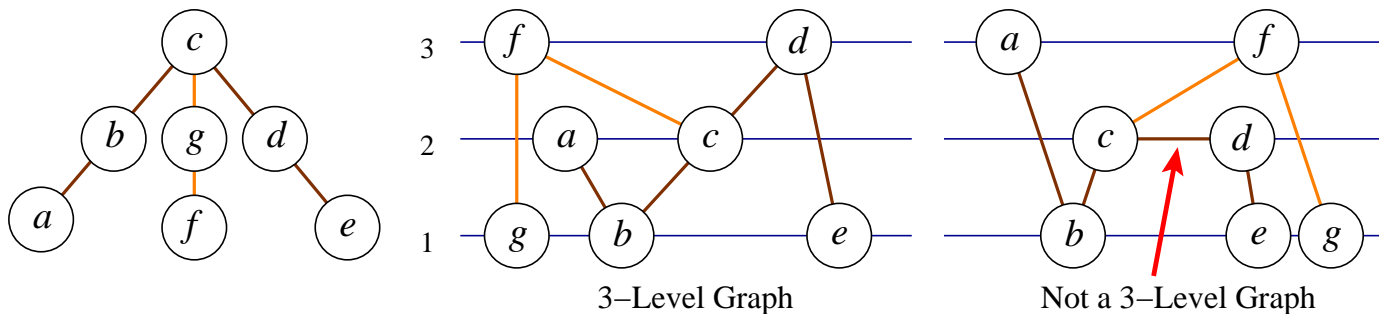
Level Planarity – Definitions



- A k -level graph $G(V, E, \phi)$
 - ▶ Has n vertices where $n \geq k$
 - ▶ Edges are drawn with straight-line segments
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 - ◆ Assigns each vertex to one of k equidistant horizontal levels
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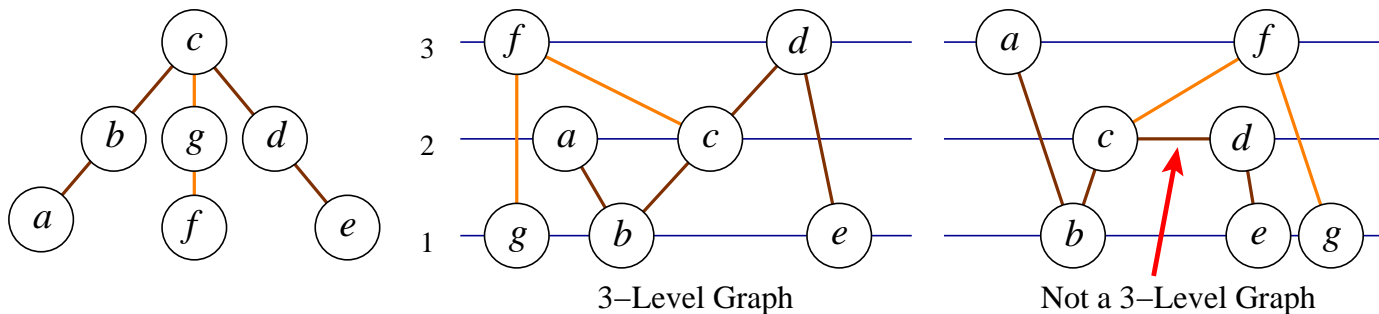


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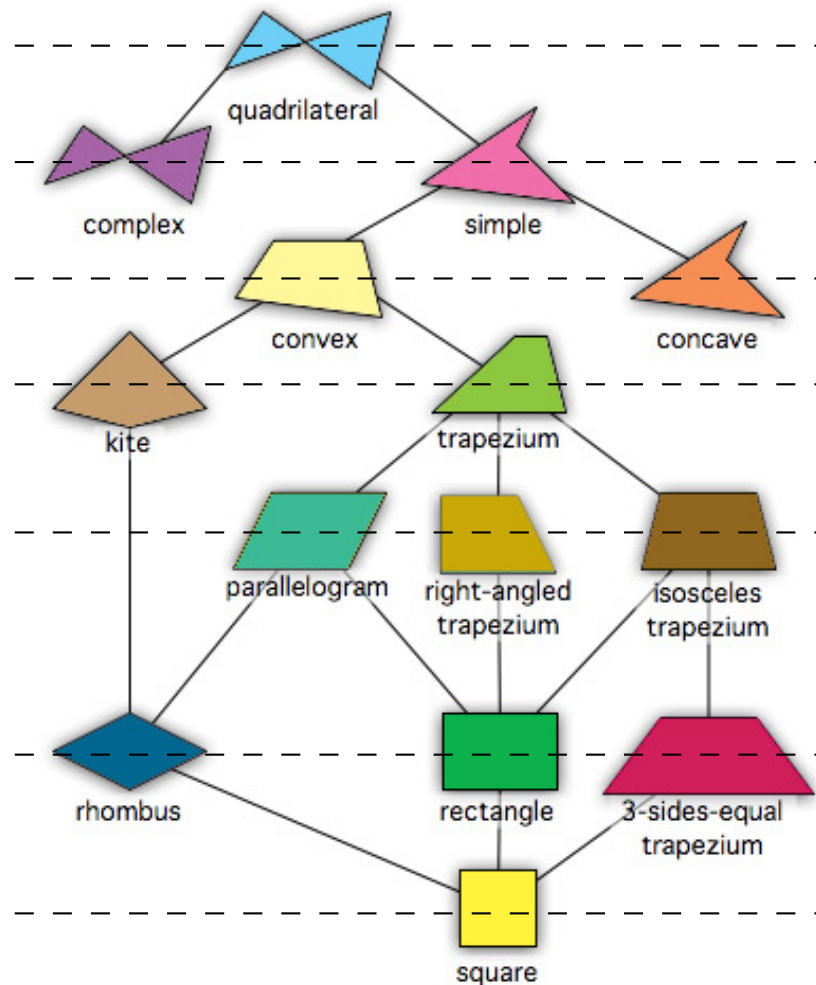
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 - ▶ Often the desire is to use as few levels as possible
 - ▶ Finding a k -level assignment for which a graph is level planar is NP-hard



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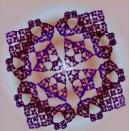
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 - ▶ All these characterizations are for a *single* level assignment



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 - ▶ Each vertex lies on a distinct level, i.e. $k = n$



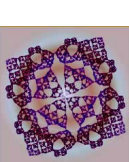
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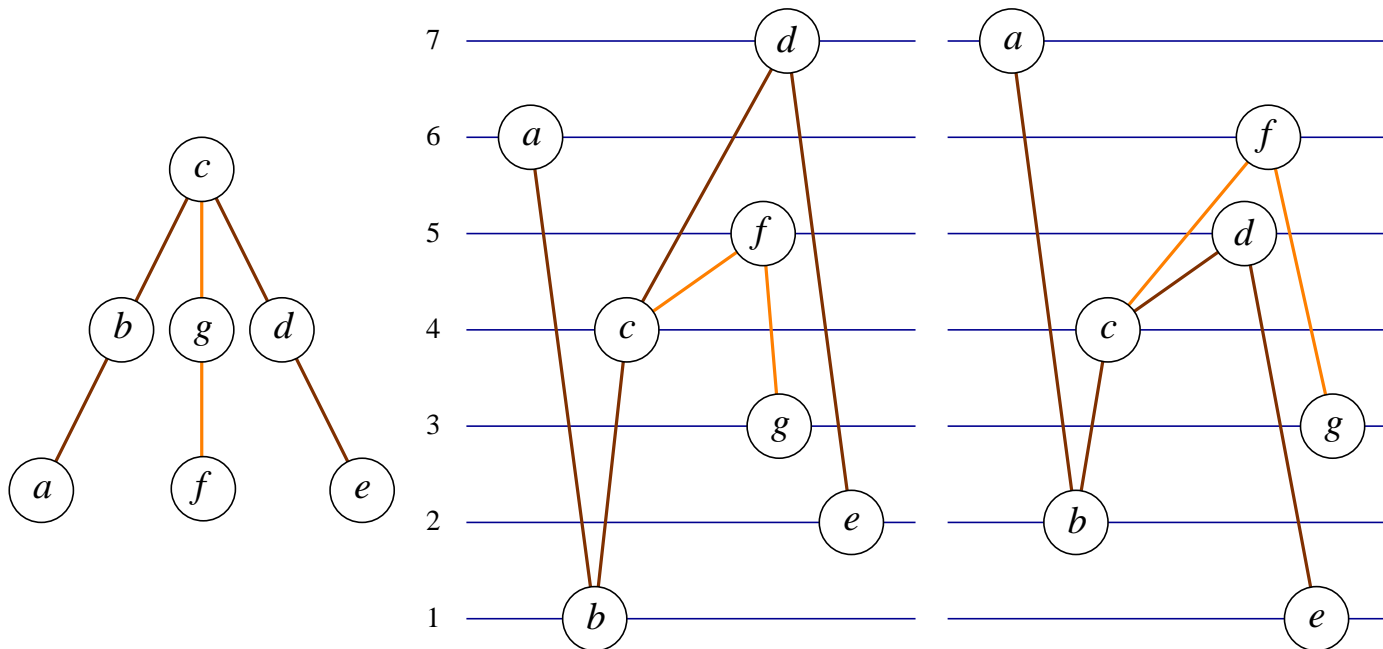
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 - ▶ Only *some* planar graphs are n -level planar over *every* level assignment
 - ◆ Such graphs are called Unlabeled Level Planar (ULP)



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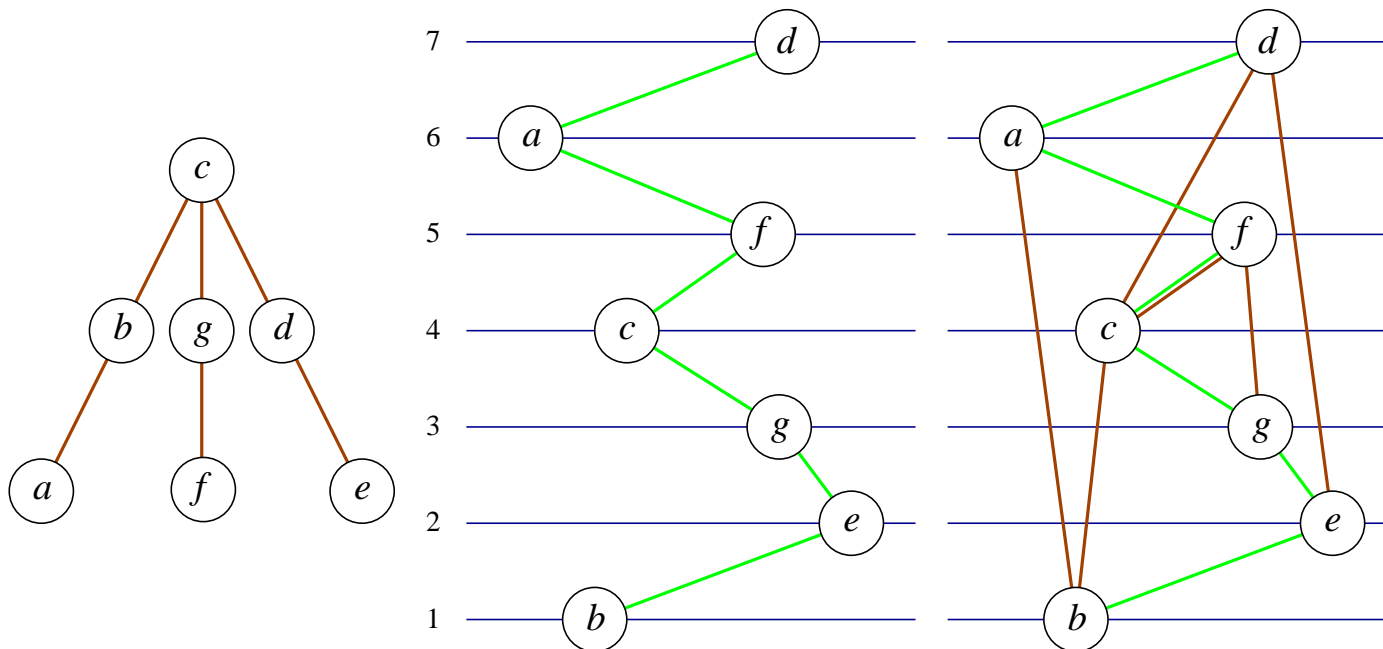
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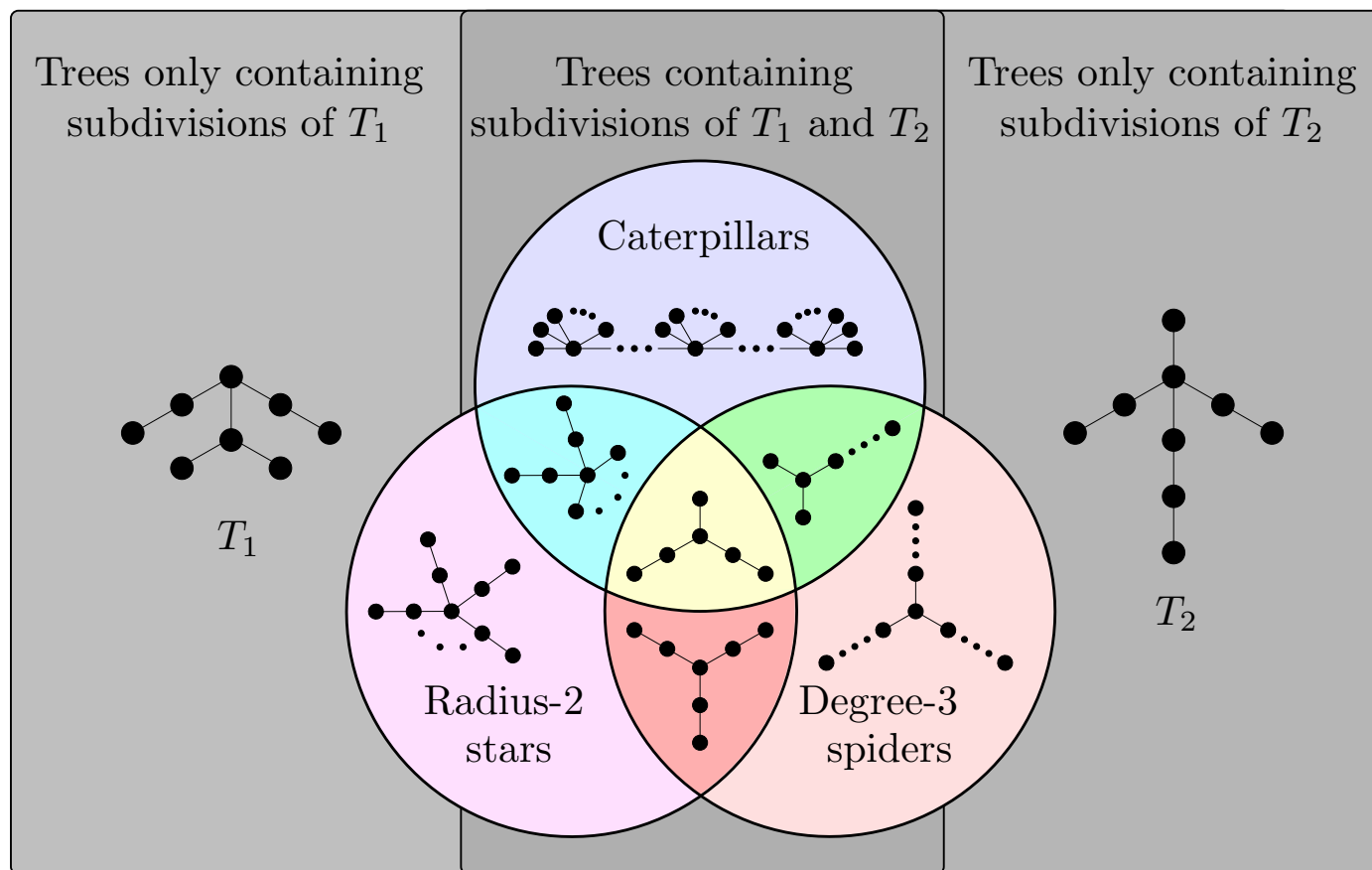
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- ▶ Can simultaneously embed a path P with any ULP graph G





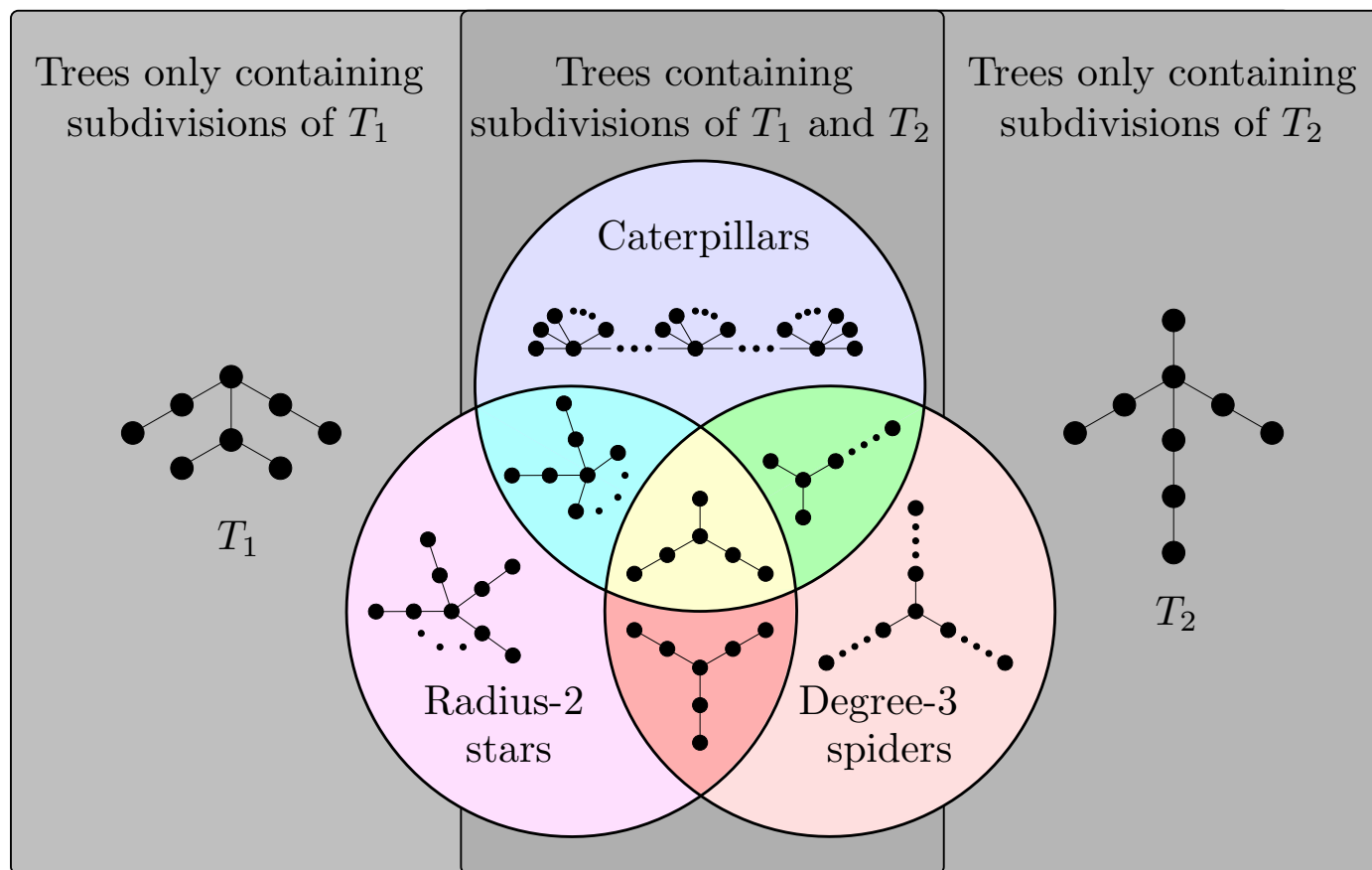
Unlabeled Level Planarity – Trees



- Characterization of ULP trees by two forbidden subdivisions

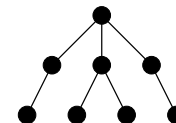


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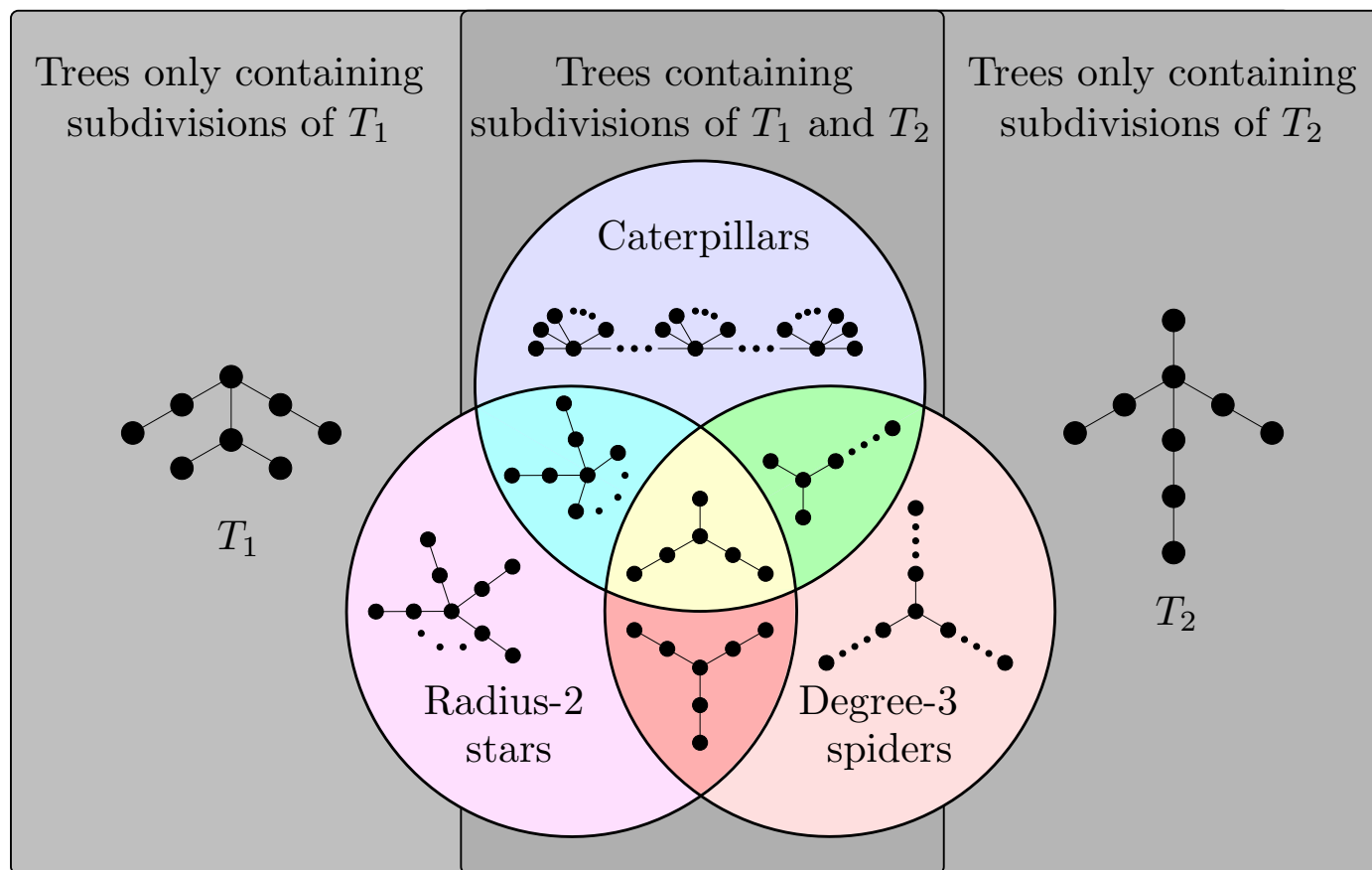
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- ▶ Tree T_1 with 8 vertices and two nodes of degree 3



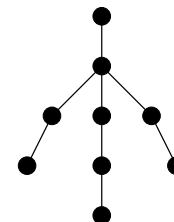


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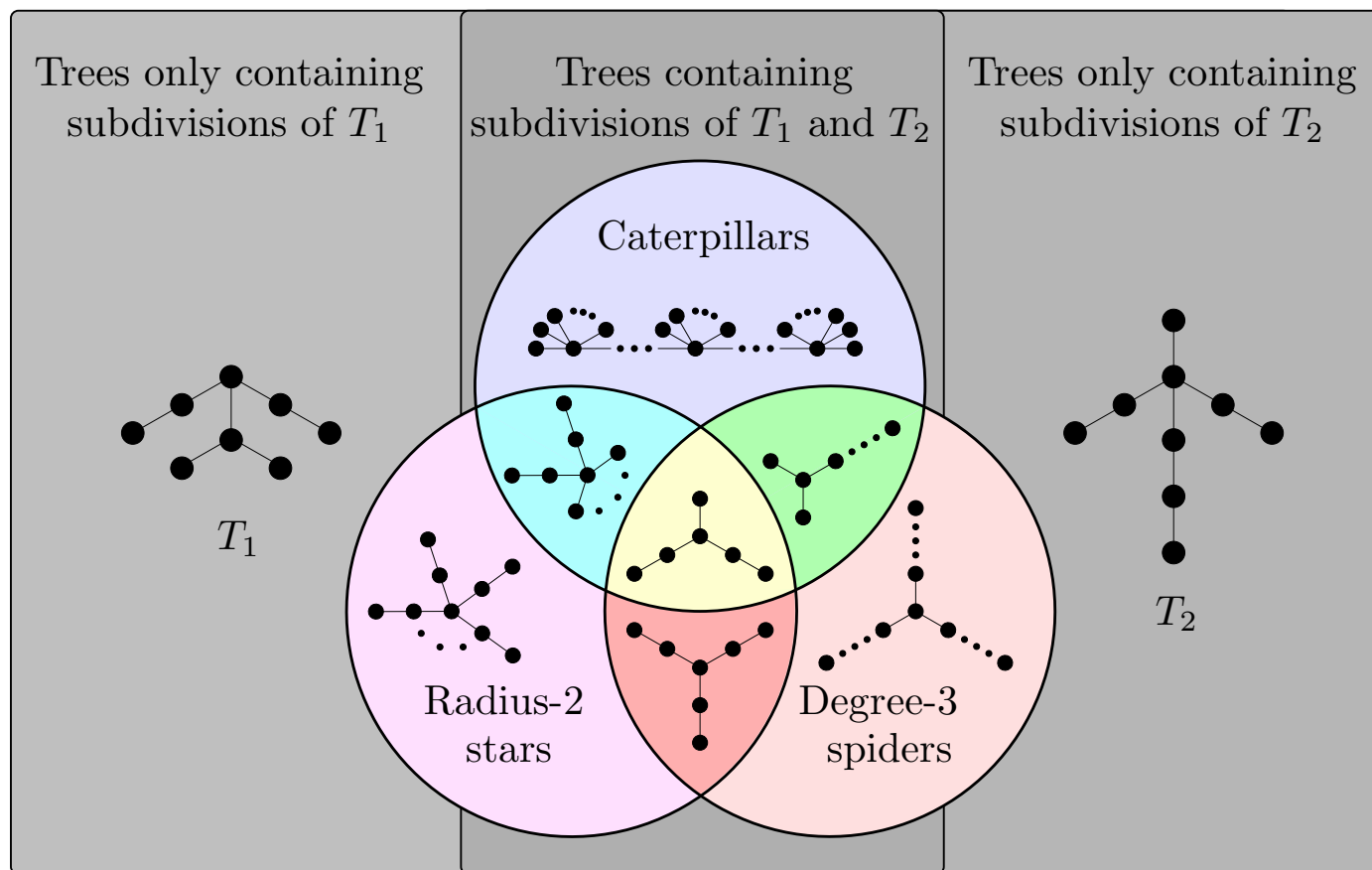
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- ▶ Tree T_1 with 8 vertices and two nodes of degree 3
- ▶ Tree T_2 with 9 vertices and one node of degree 4





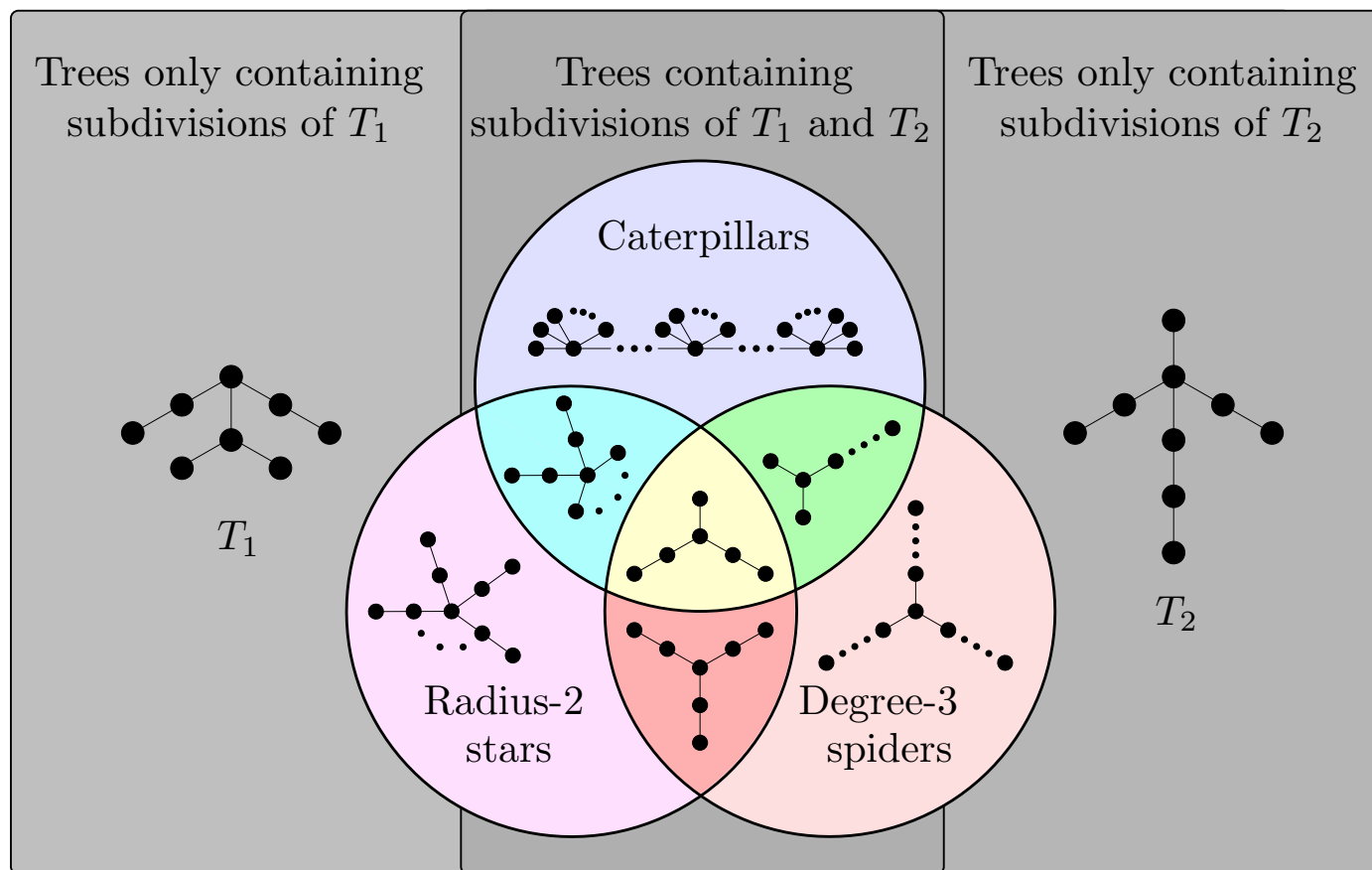
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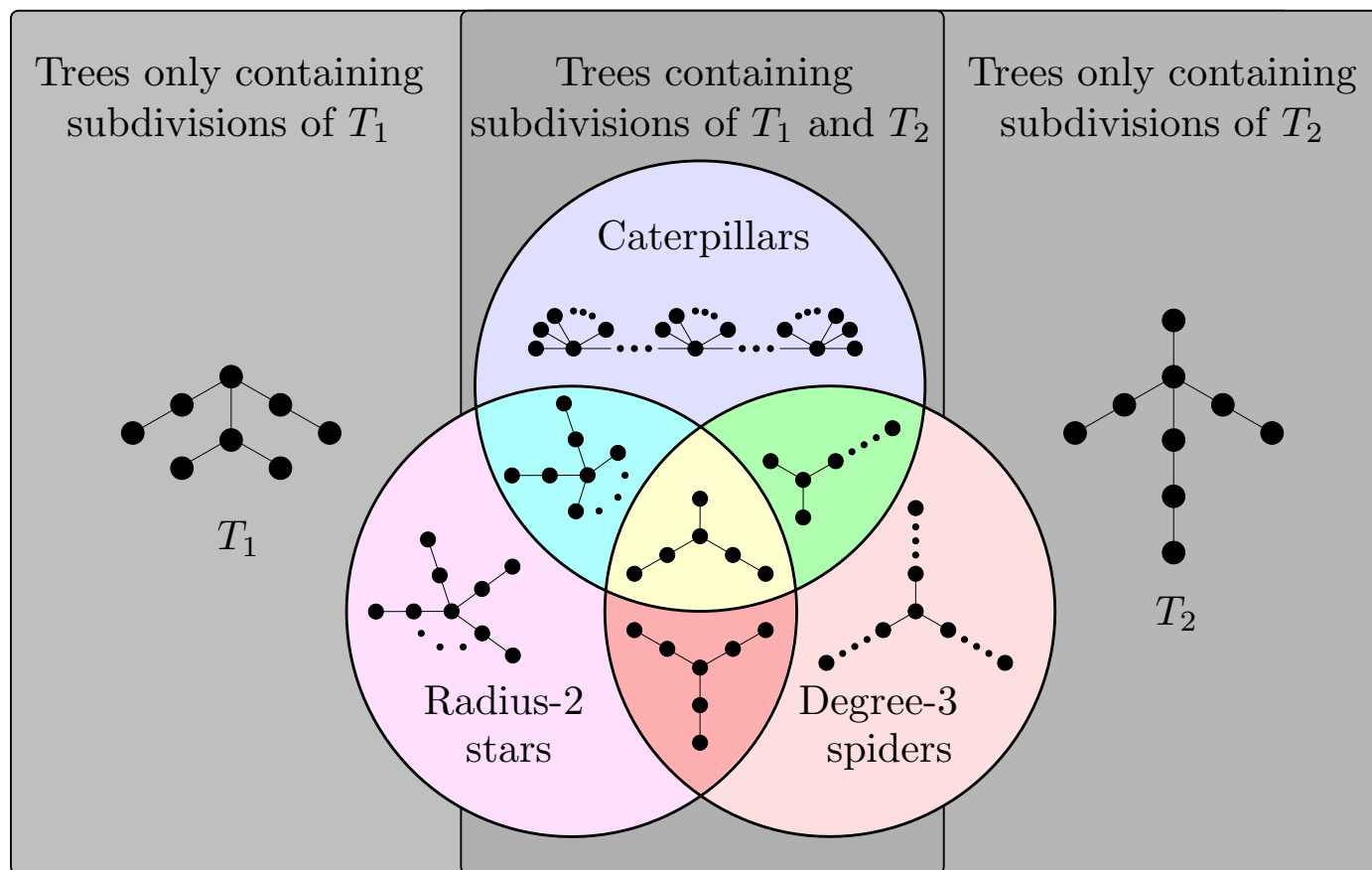
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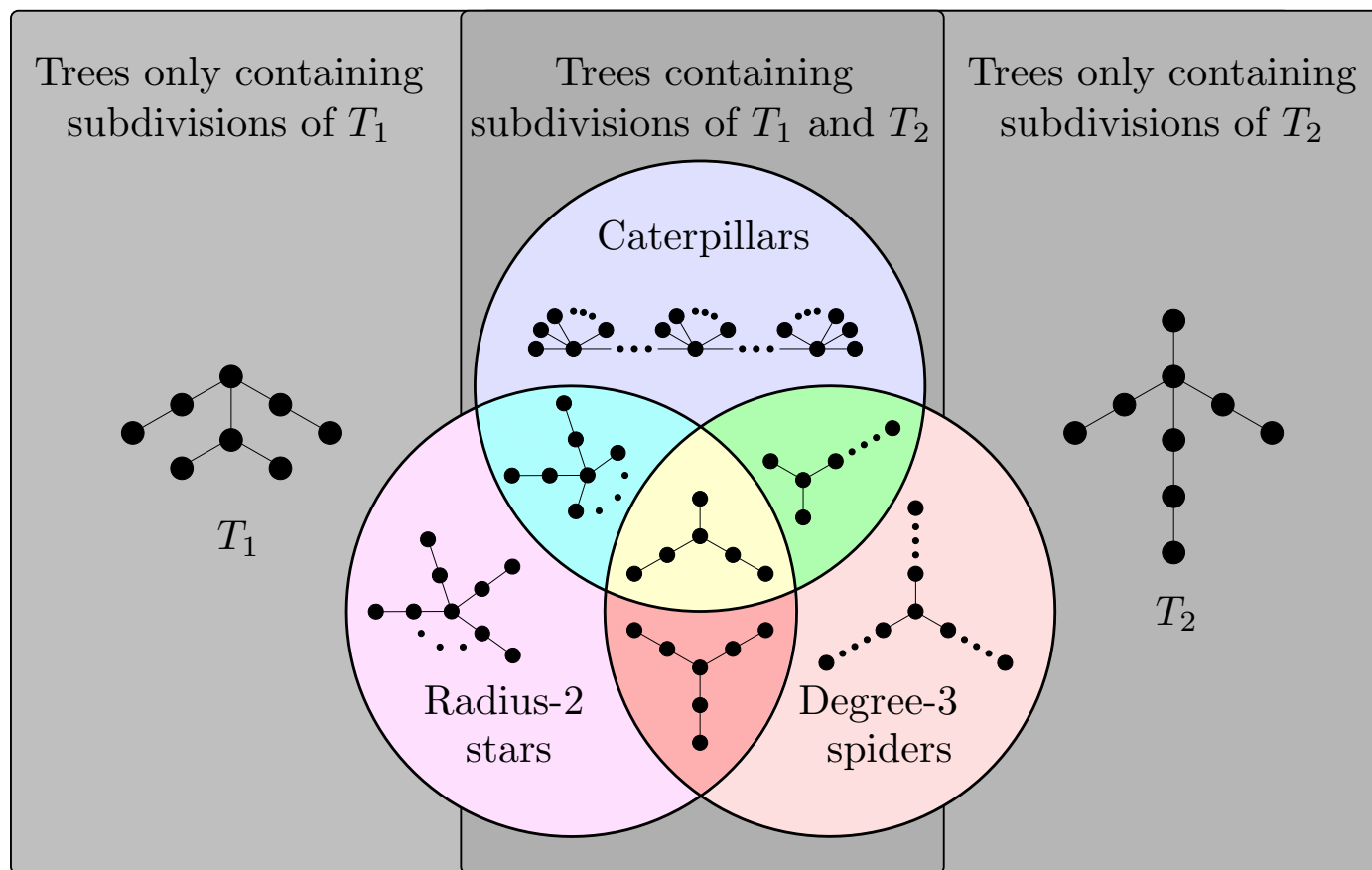
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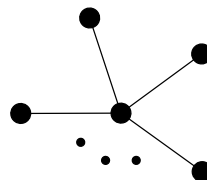


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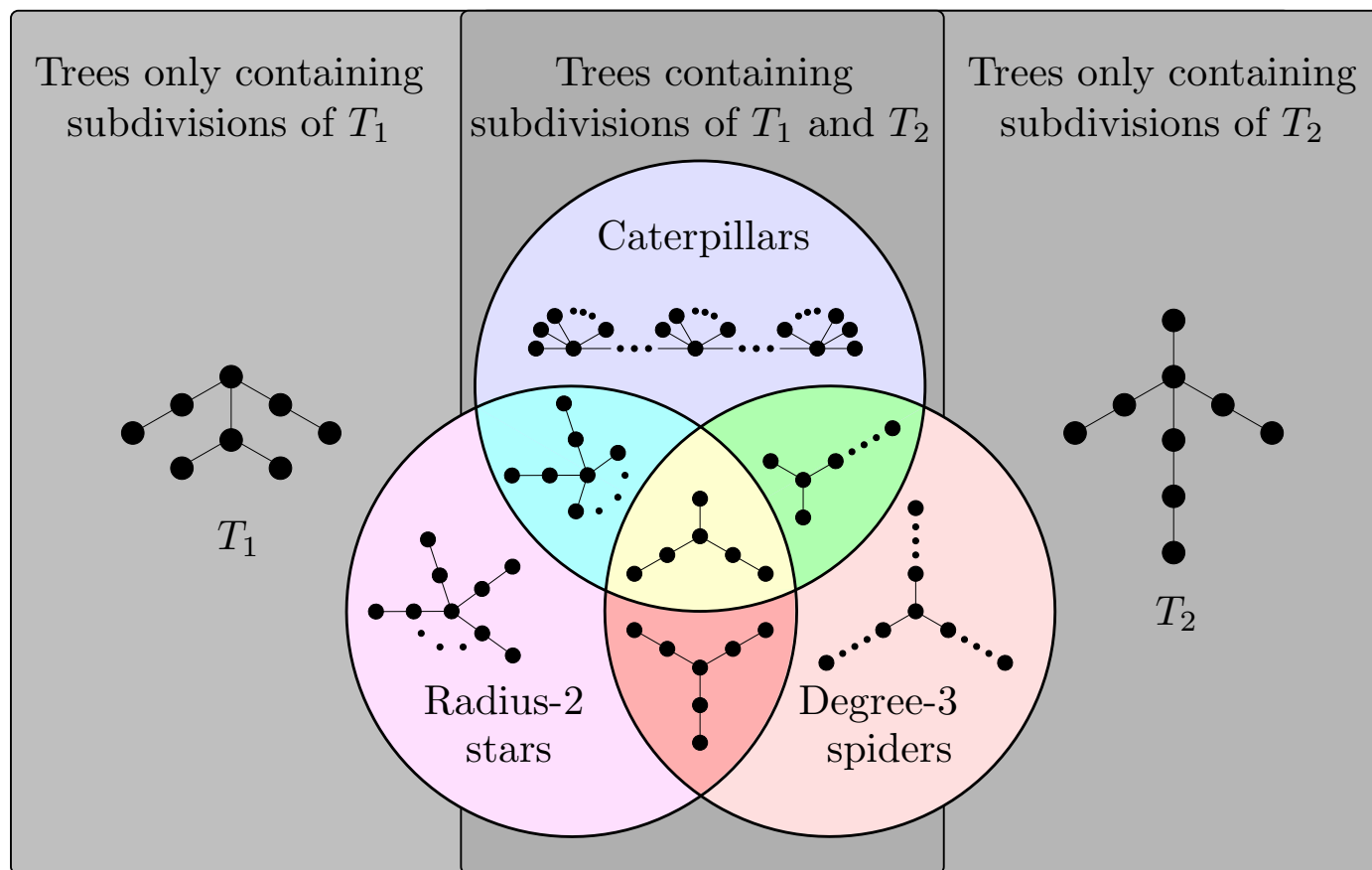
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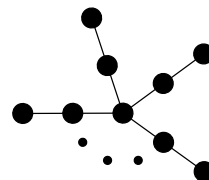


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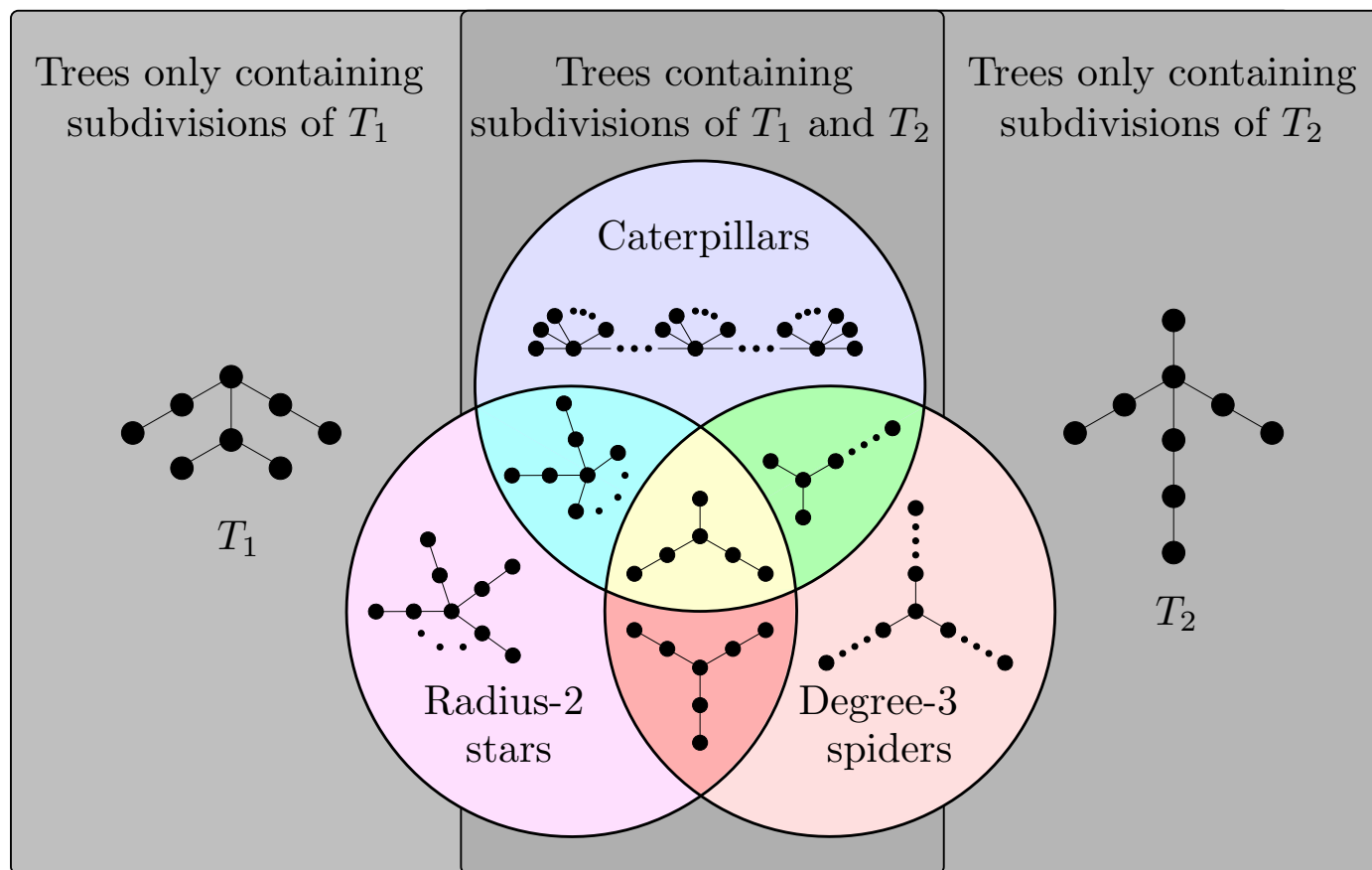
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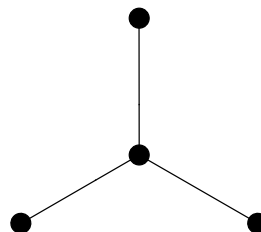


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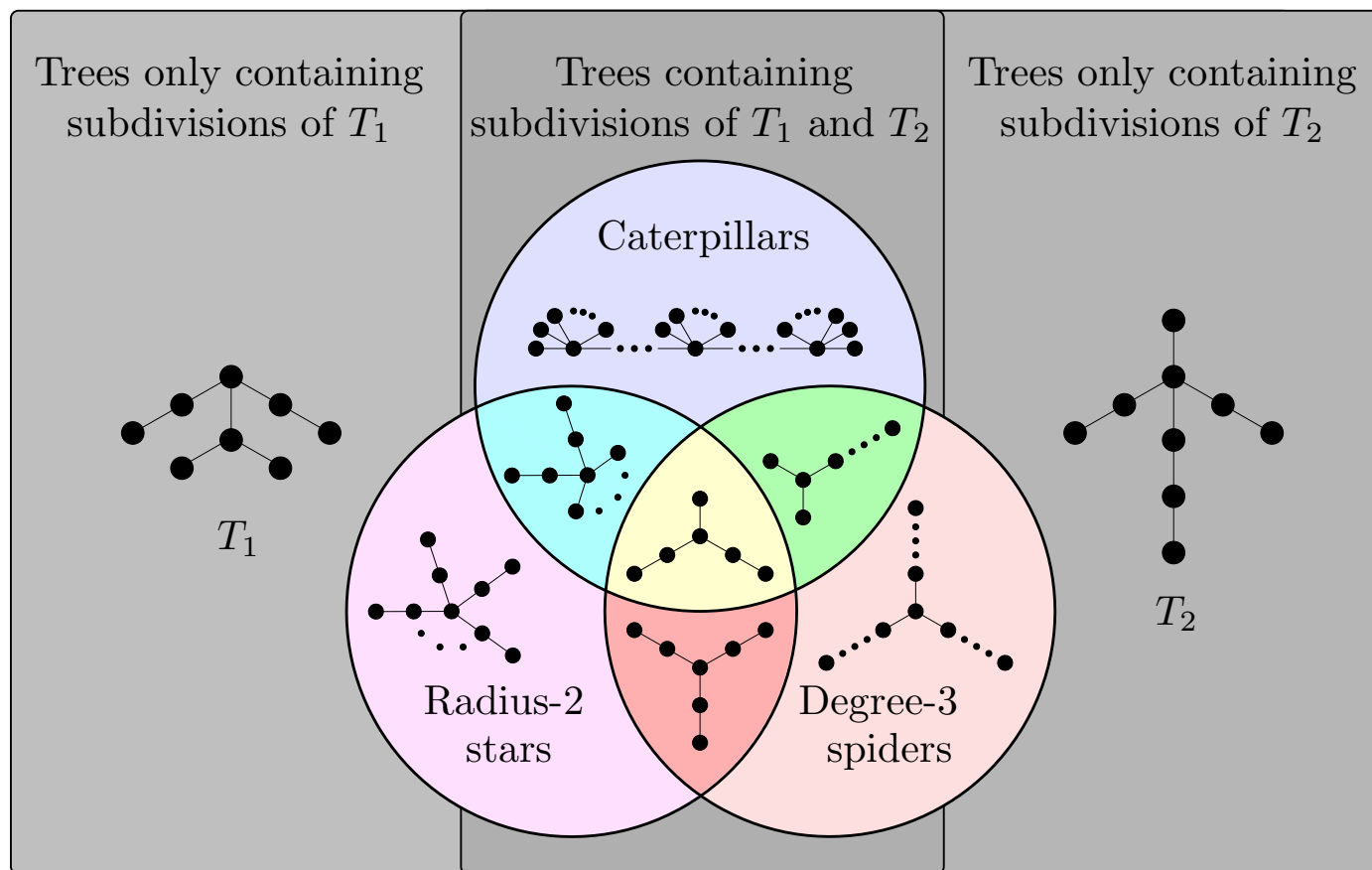
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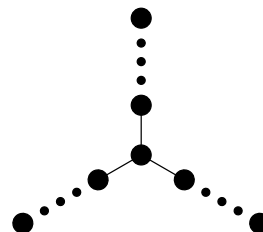


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Level Planarity vs. Standard Planarity

- More restrictive than standard planarity



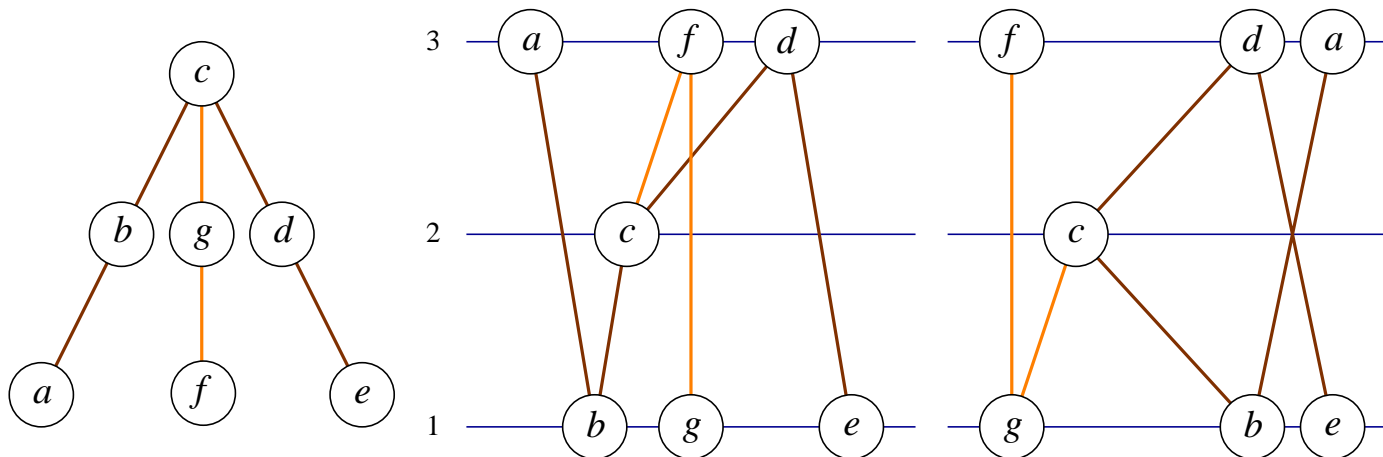
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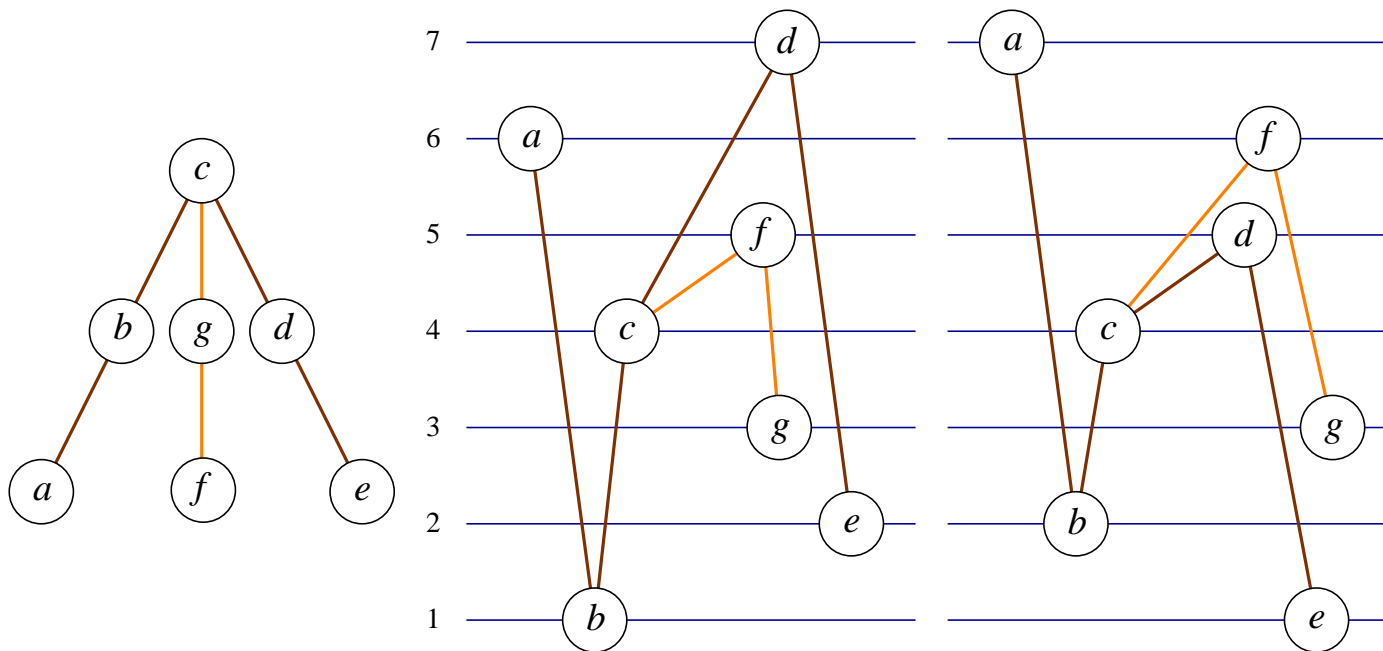
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Level Planarity vs. Standard Planarity

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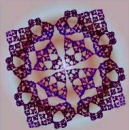
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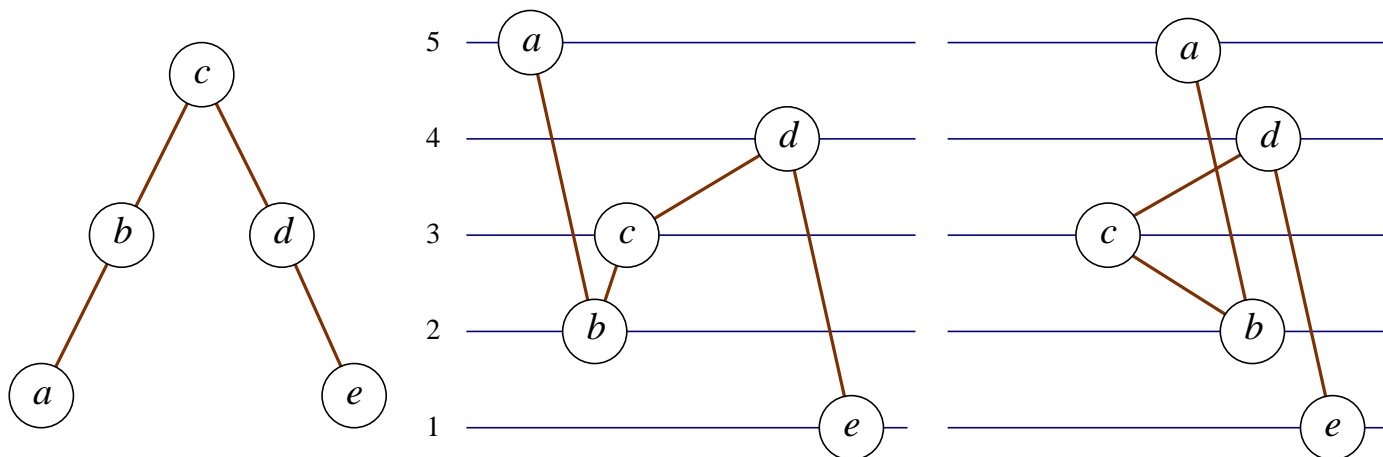
Key Observation

- Let C be some chain $a-b-c-d-e$



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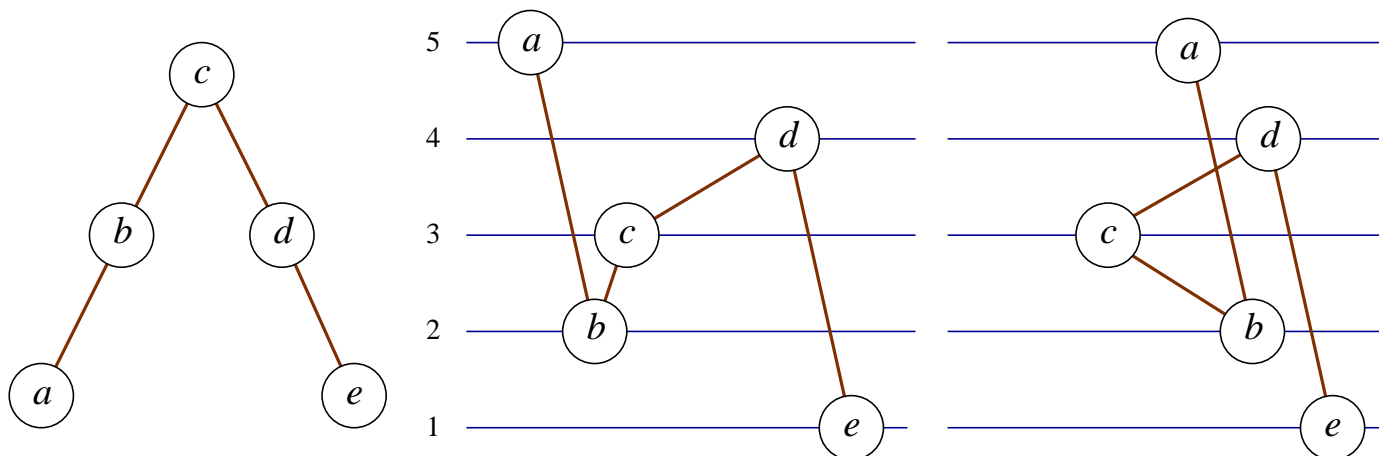




Key Observation

■ Let C be some chain $a-b-c-d-e$

► If $a <_Y d <_Y c <_Y b <_Y e$



► Then either

◆ $a-b <_X c <_X d-e$ or

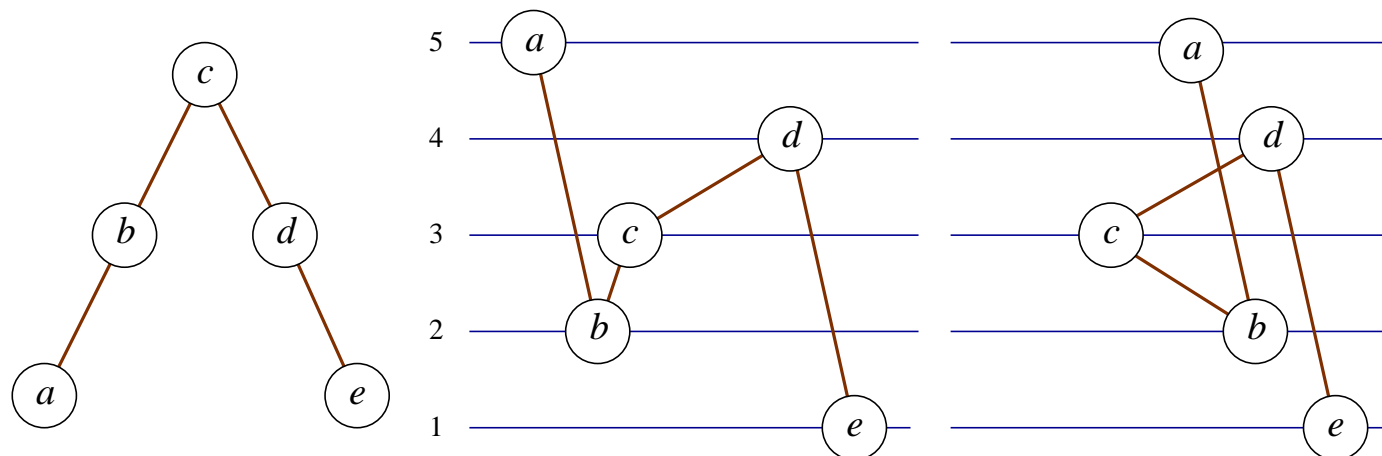
◆ $d-e <_X c <_X a-b$, i.e, c is between $a-b$ and $d-e$



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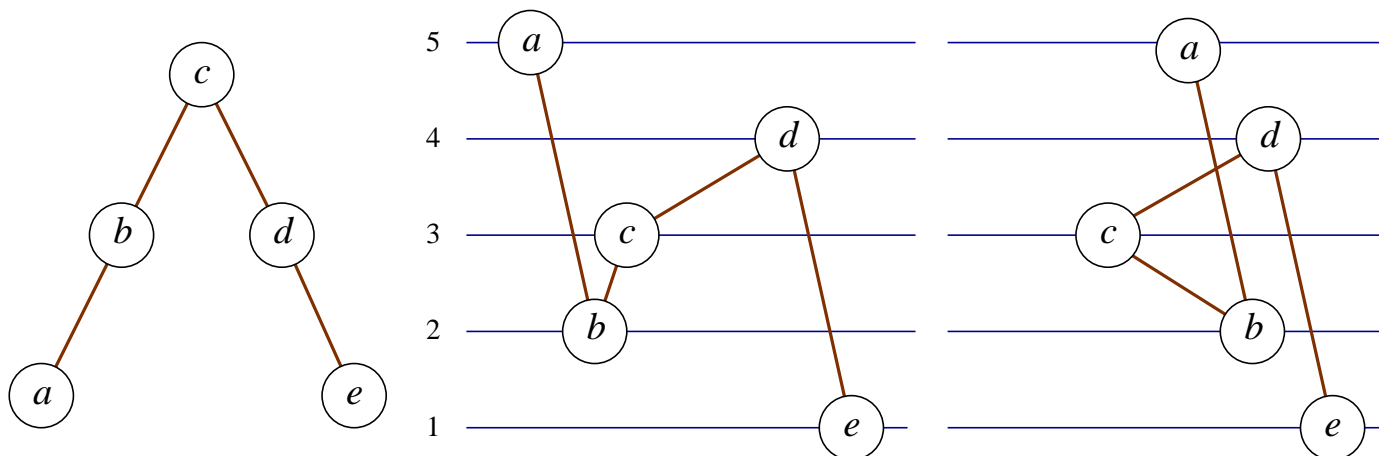
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◆ $a-b <_X c <_X d-e$ or

◆ $d-e <_X c <_X a-b$, i.e, c is between $a-b$ and $d-e$

► Since otherwise $c-b-a$ will cross $c-d-e$

■ So c cannot be leftmost or rightmost without forcing a crossing

► Can use this property to prove T_1 and T_2 are not ULP



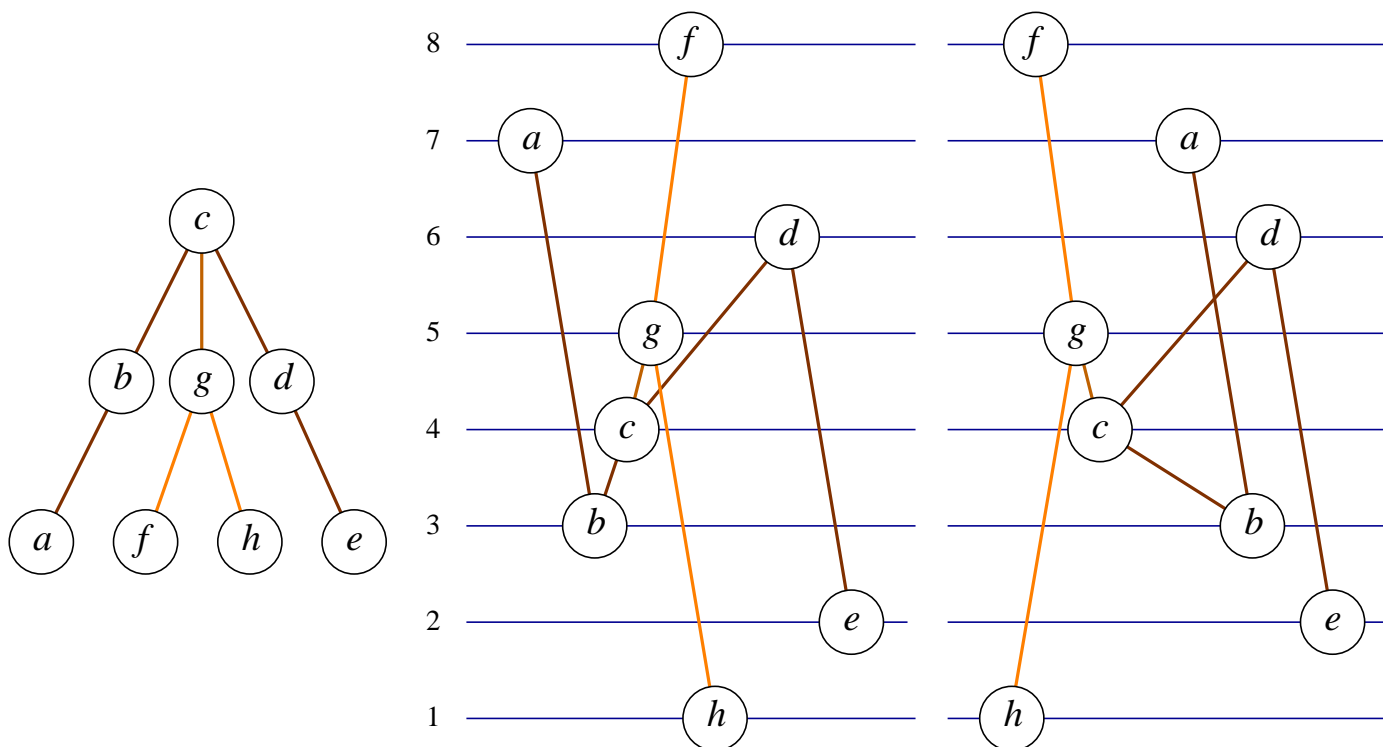
Forbidden Trees – Proof T_1 is not ULP

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Forbidden Trees – Proof T_1 is not ULP

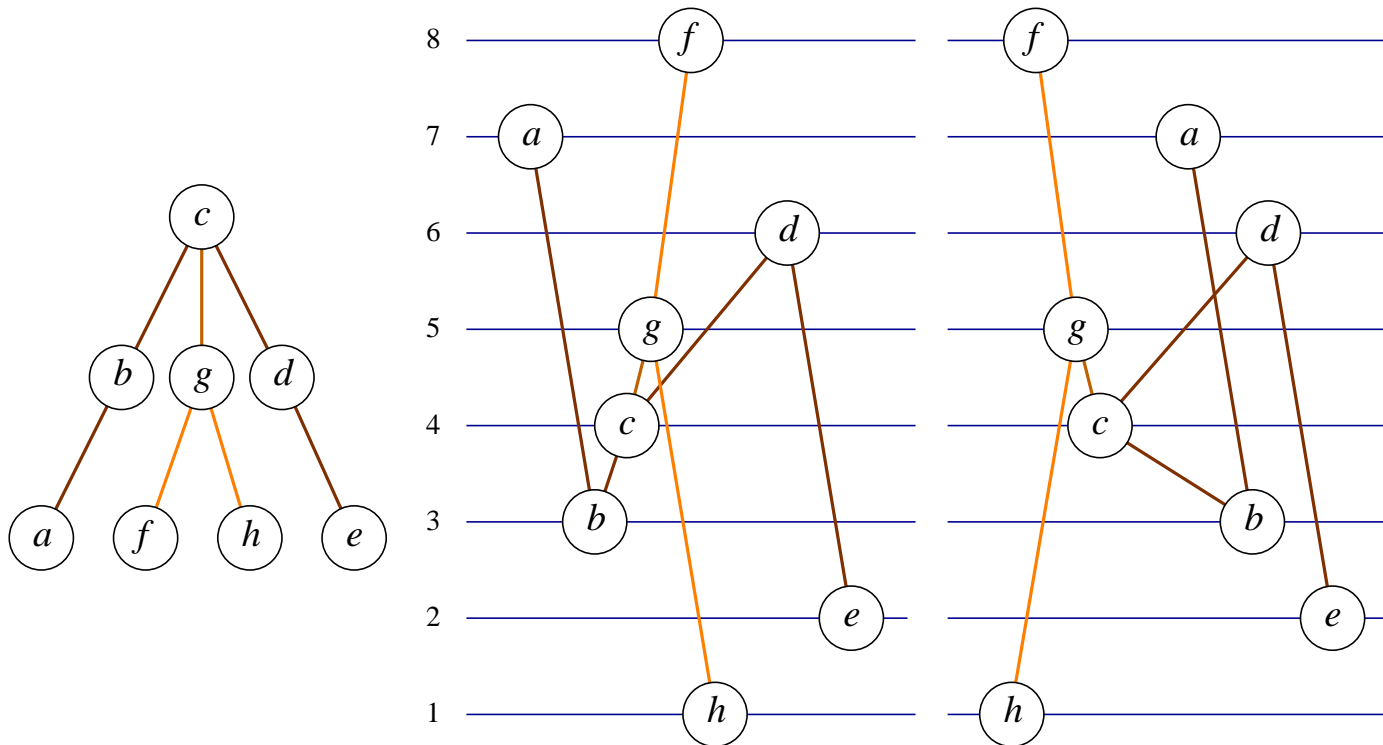
- Let C be the chain $a-b-c-d-e$
 - Where $\{a, f\} <_Y d <_Y \{c, g\} <_Y b <_Y \{e, h\}$





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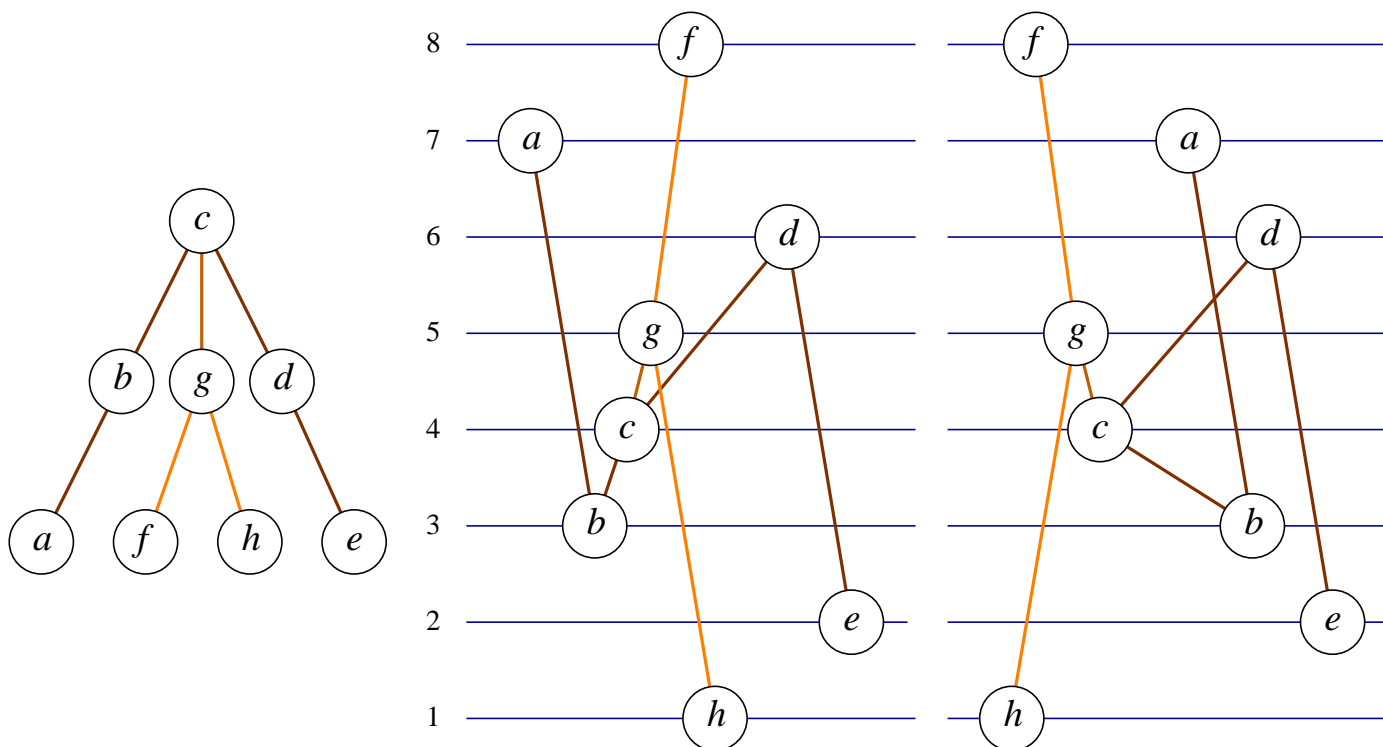


- Can assume without loss of generality that
 - ◆ $a-b <_X c <_X d-e$
 - ◆ I.e., c lies between $a-b$ and $d-e$



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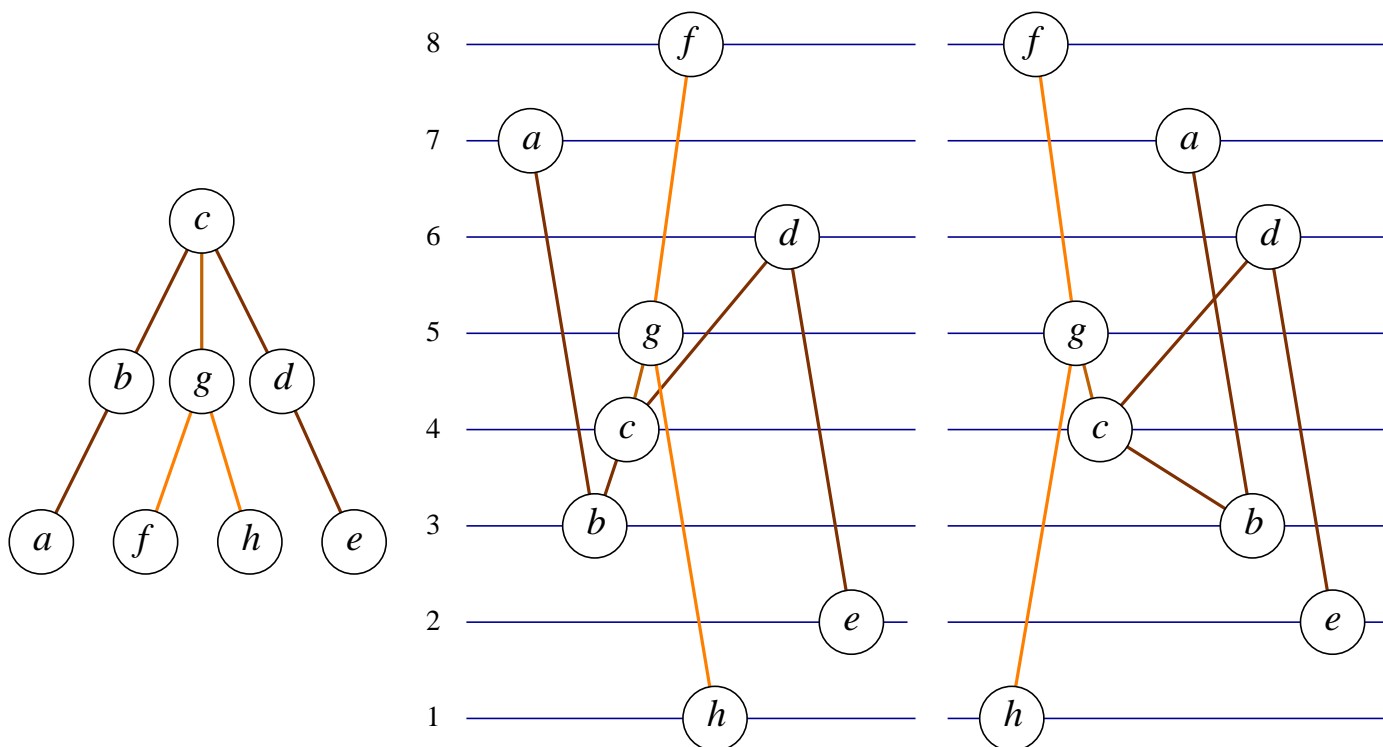


- Implies that $a-b <_X g <_X d-e$
 - ◆ Otherwise, $c-g$ will cross $a-b$ or $d-e$



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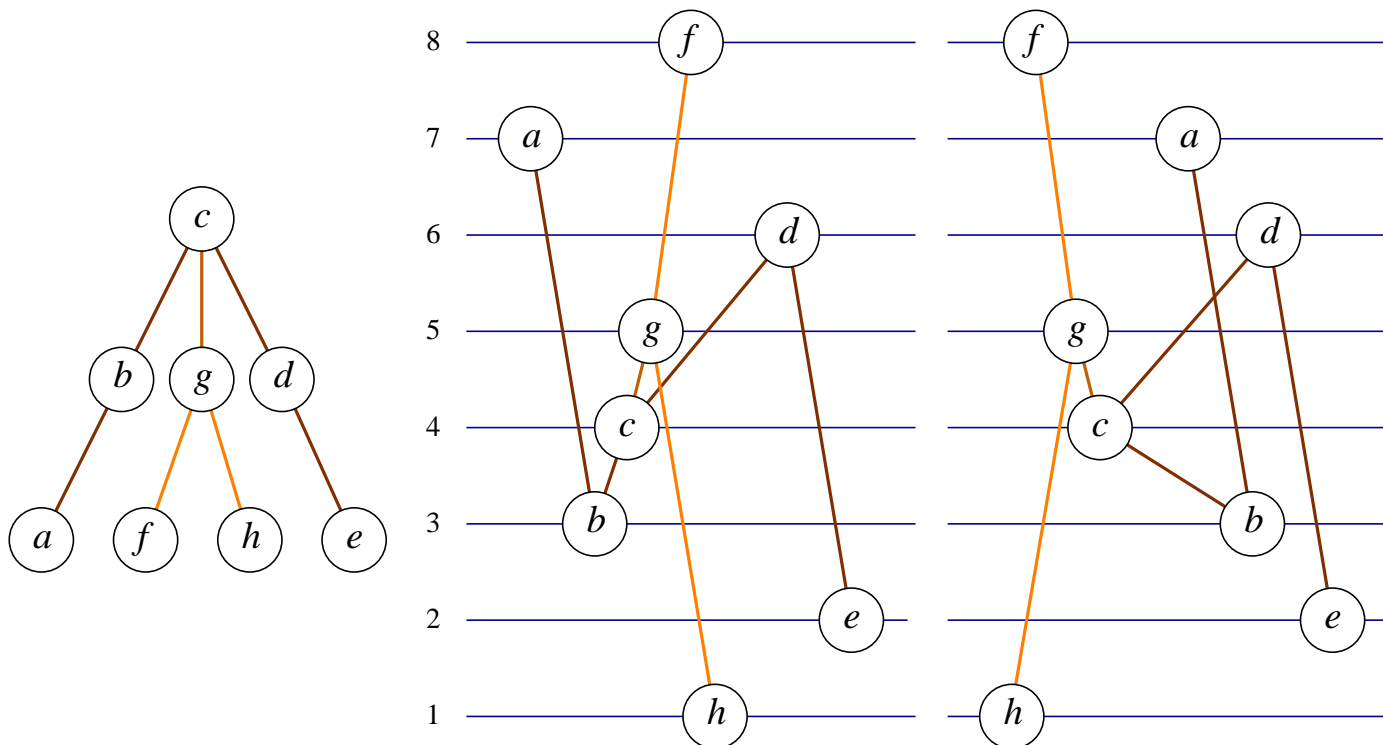


- Then either $g >_Y a-b-c-d$
 - ◆ In which case $g-h$ crosses $a-b-c-d$



Forbidden Trees – Proof T_1 is not ULP

- Let C be the chain $a-b-c-d-e$
 - Where $\{a, f\} <_Y d <_Y \{c, g\} <_Y b <_Y \{e, h\}$



- Or $g <_Y b-c-d-e$
 - ◆ In which case $g-f$ crosses $b-c-d-e$



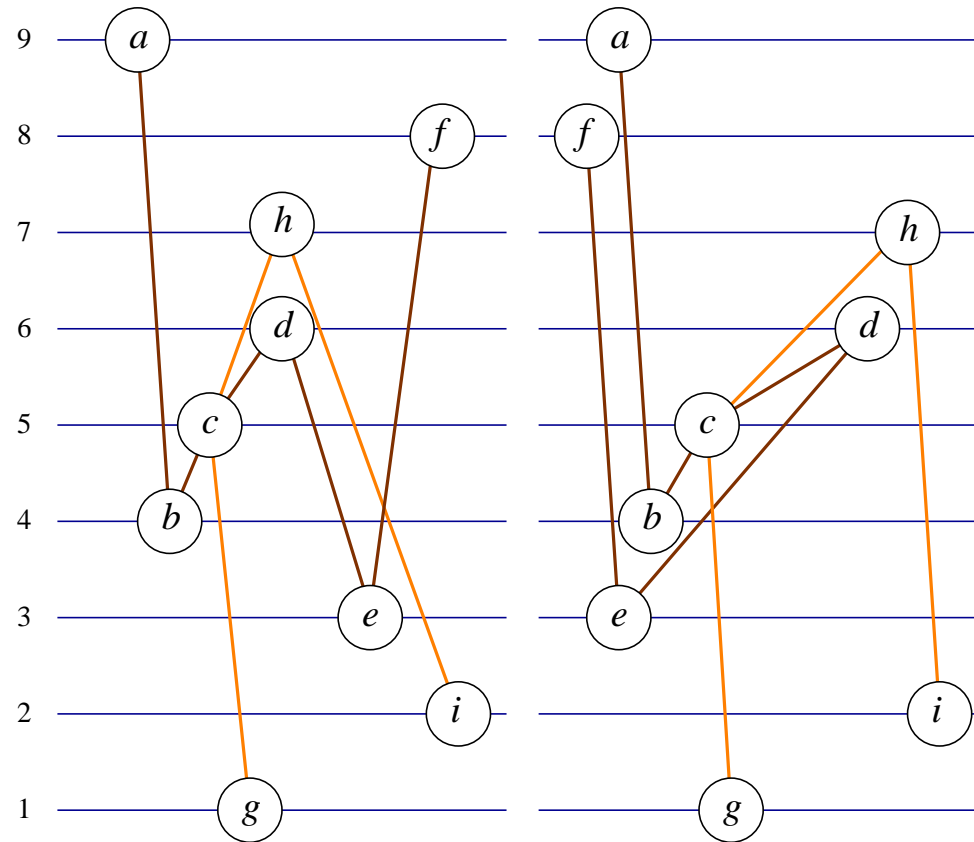
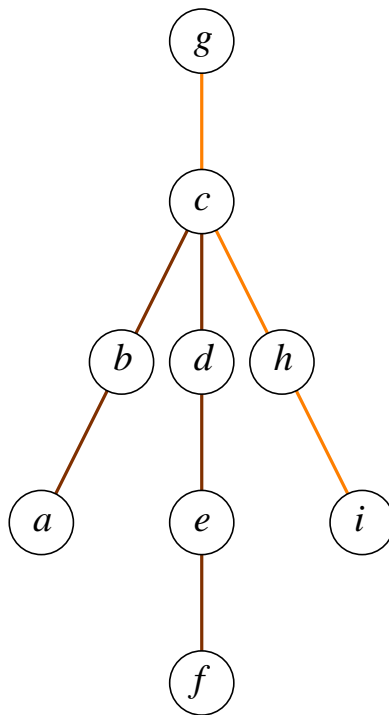
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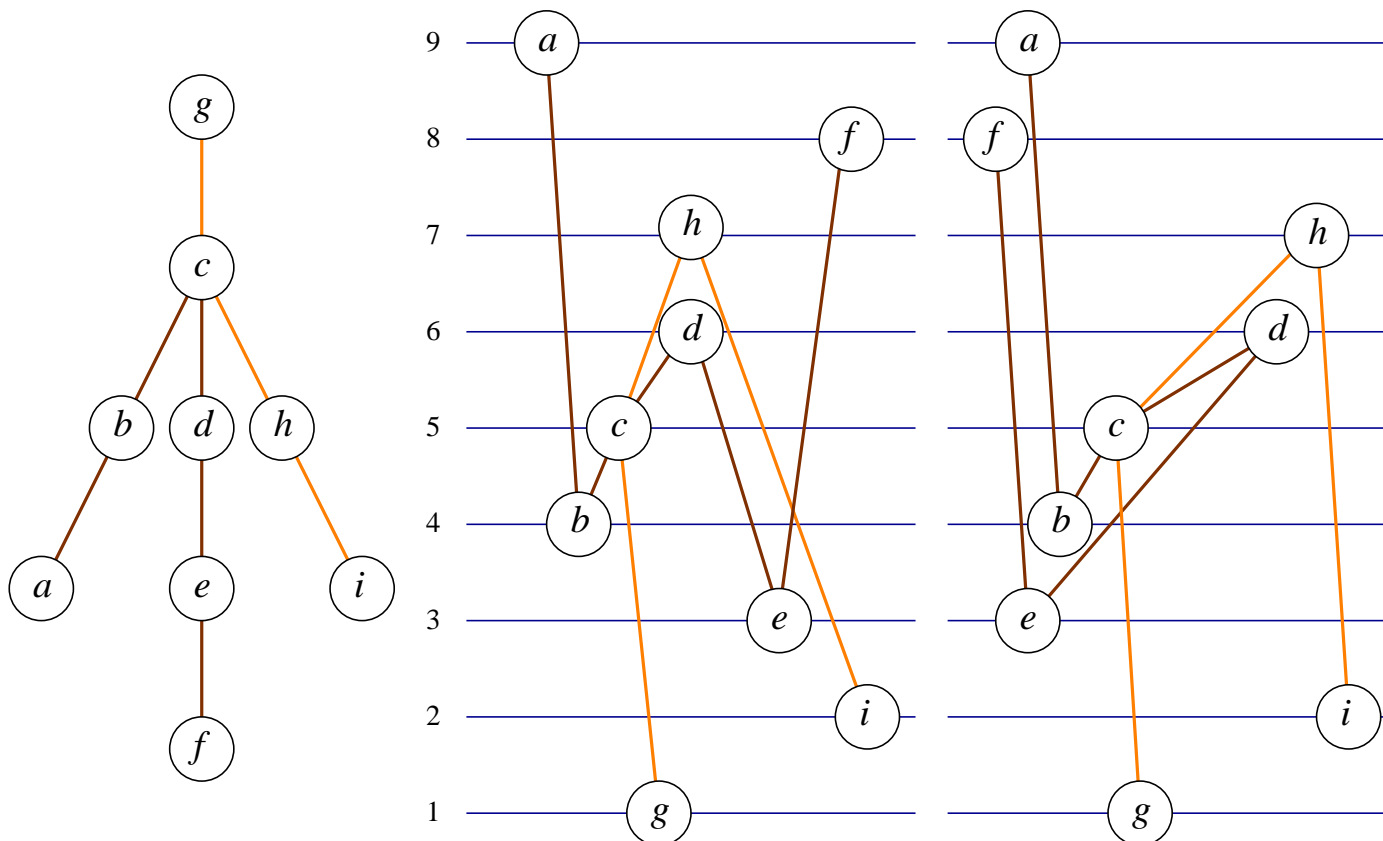




Forbidden Trees – Proof T_2 is not ULP

■ Let C be the chain $a-b-c-d-e$

► Where $\{a, f\} <_Y h <_Y d <_Y c <_Y b <_Y e <_Y \{g, i\}$



► One can assume without loss of generality that

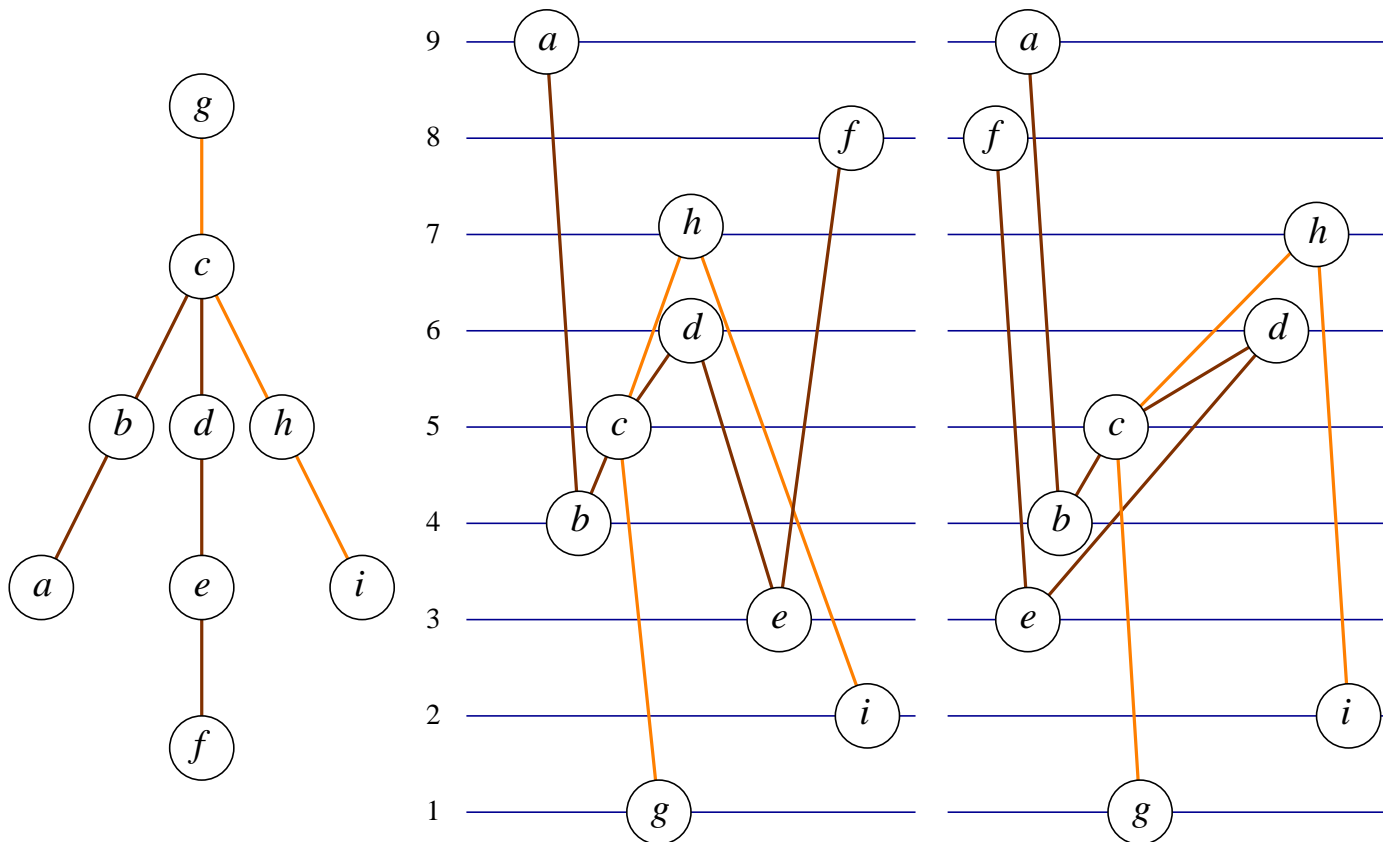
◆ $a-b <_X c <_X d-e$ since $a <_Y d <_Y c <_Y b <_Y e$



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■ Let C be the chain $a-b-c-d-e$

► Where $\{a, f\} <_Y h <_Y d <_Y c <_Y b <_Y e <_Y \{g, i\}$



► AND one can also assume that

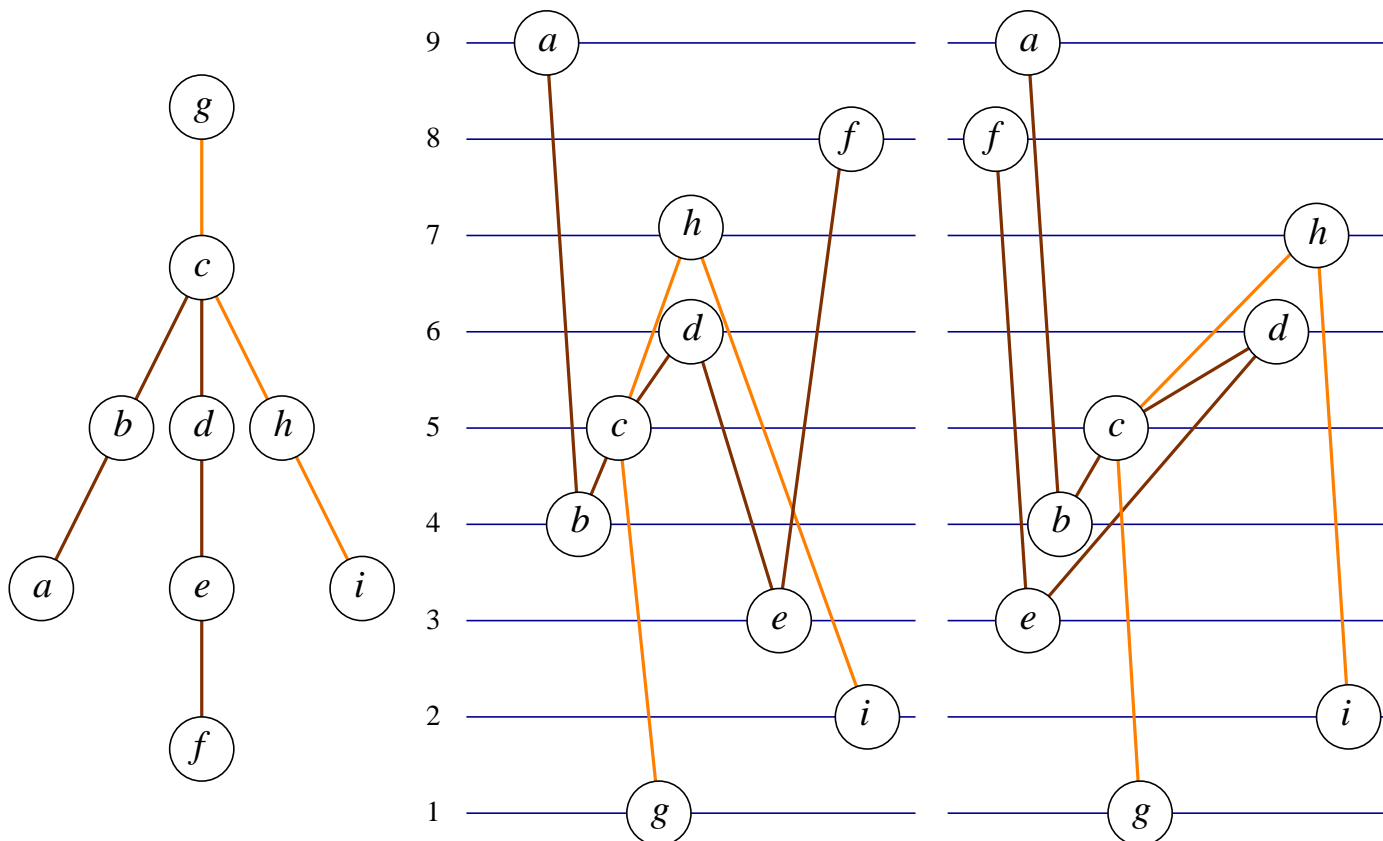
◆ $a-b <_X c <_X h-i$ since $a <_Y h <_Y c <_Y b <_Y i$



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► Implies that $c-g <_X e <_X h-i$

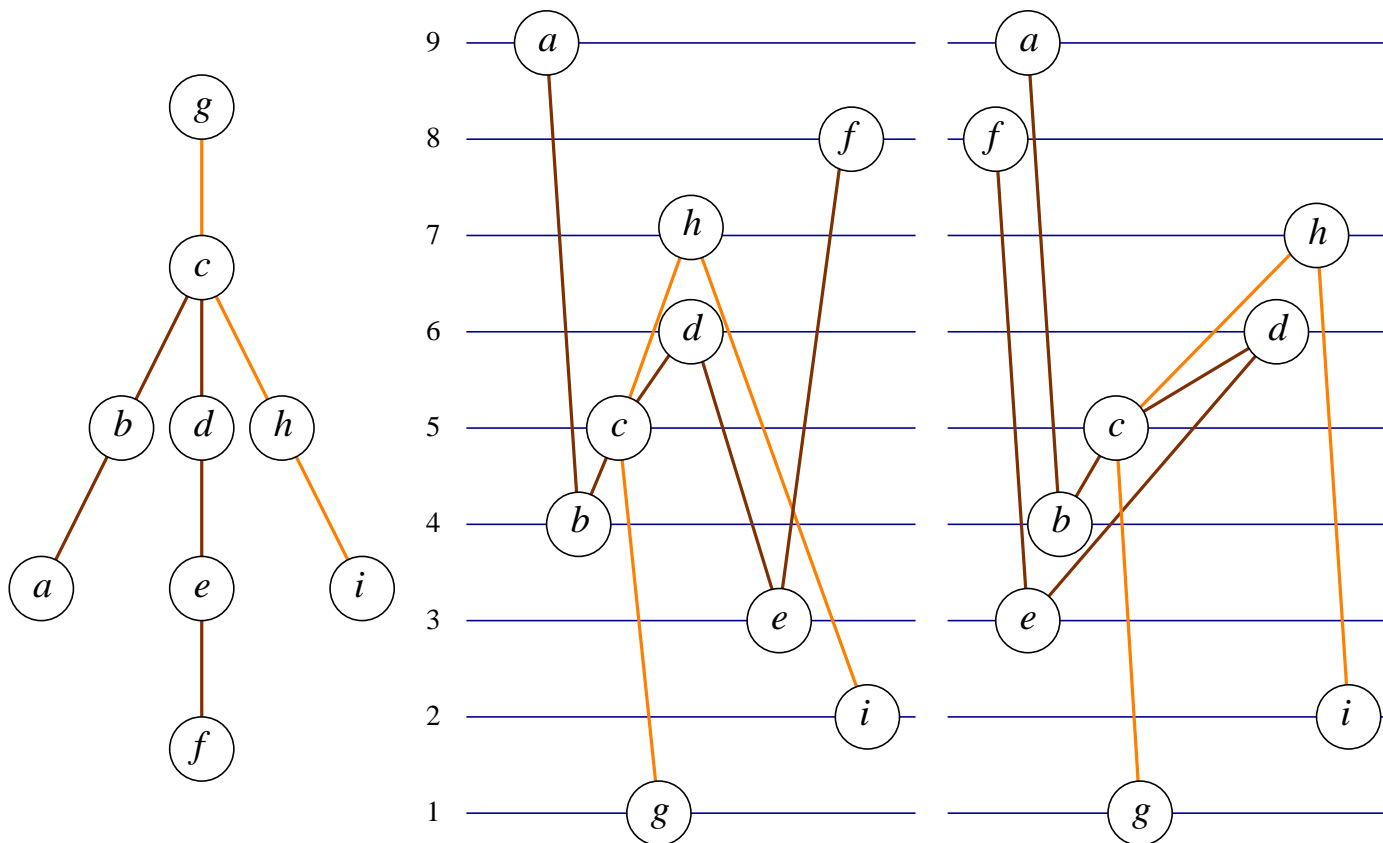
◆ Since otherwise $c-g$ will cross $d-e$ OR $d-e$ will cross $h-i$



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■ Let C be the chain $a-b-c-d-e$

► Where $\{a, f\} <_Y h <_Y d <_Y c <_Y b <_Y e <_Y \{g, i\}$



► However, this implies that $e <_Y g-c-h-i$

◆ In which case $e-f$ crosses $g-c-h-i$



Forbidden Trees – Lemma and Corollary

- Existence of labelings in which T_1 and T_2 are not level planar gives the following lemma:

Lemma 1 *There exist labelings that prevent T_1 and T_2 from being level planar.*



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- Proof idea:
 - ▶ Assign intermediate levels to vertices of subdivided edges



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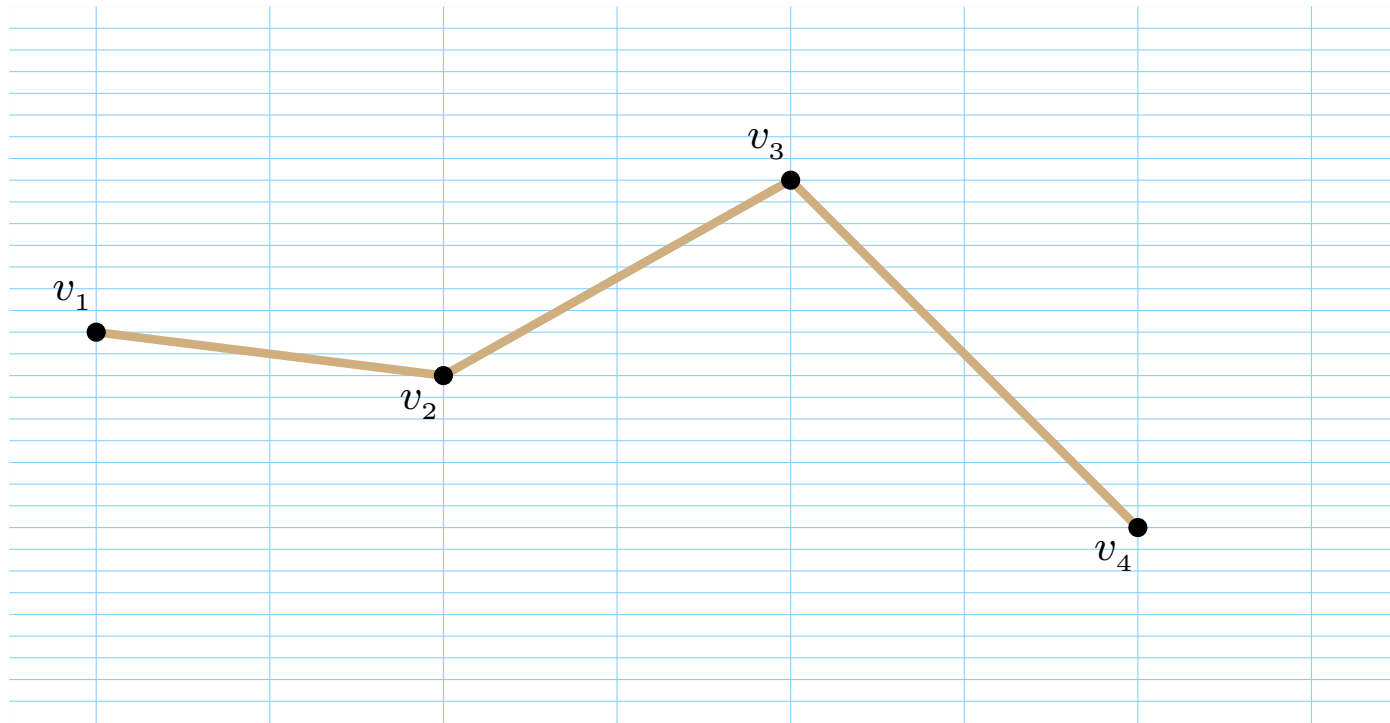
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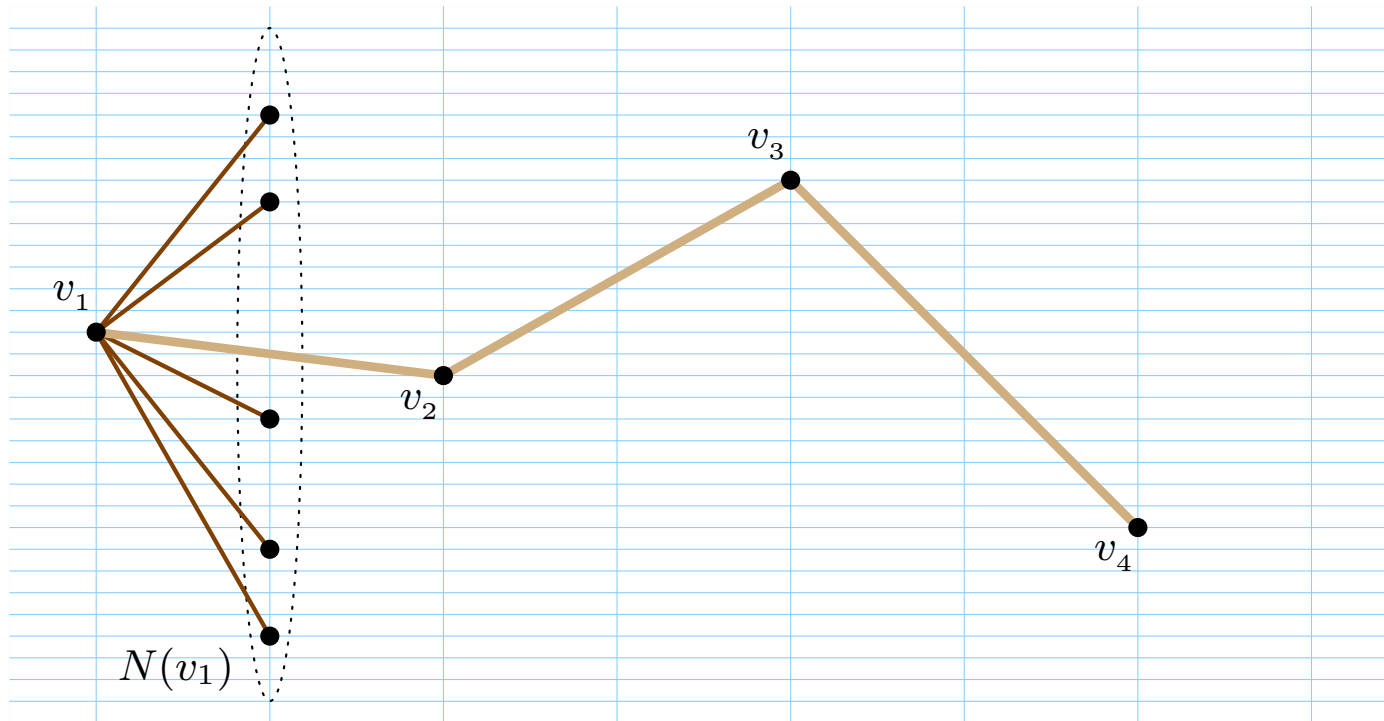




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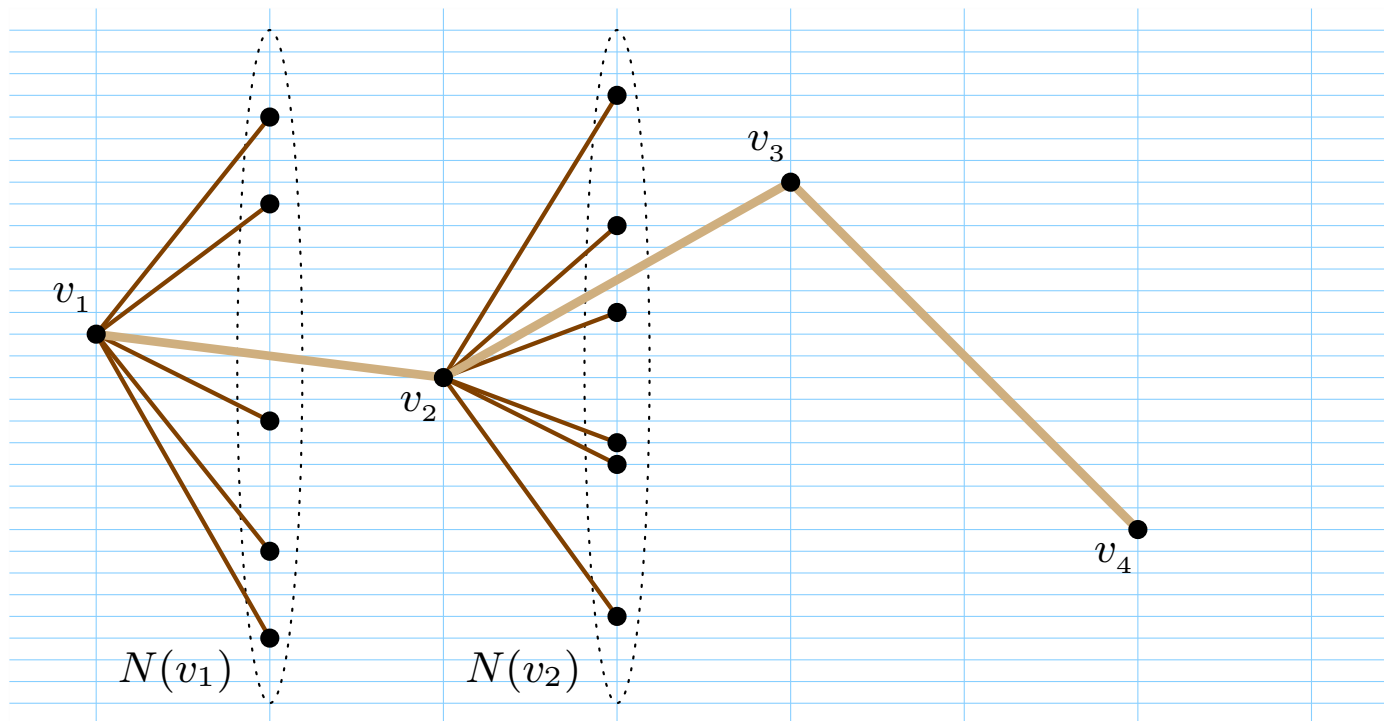




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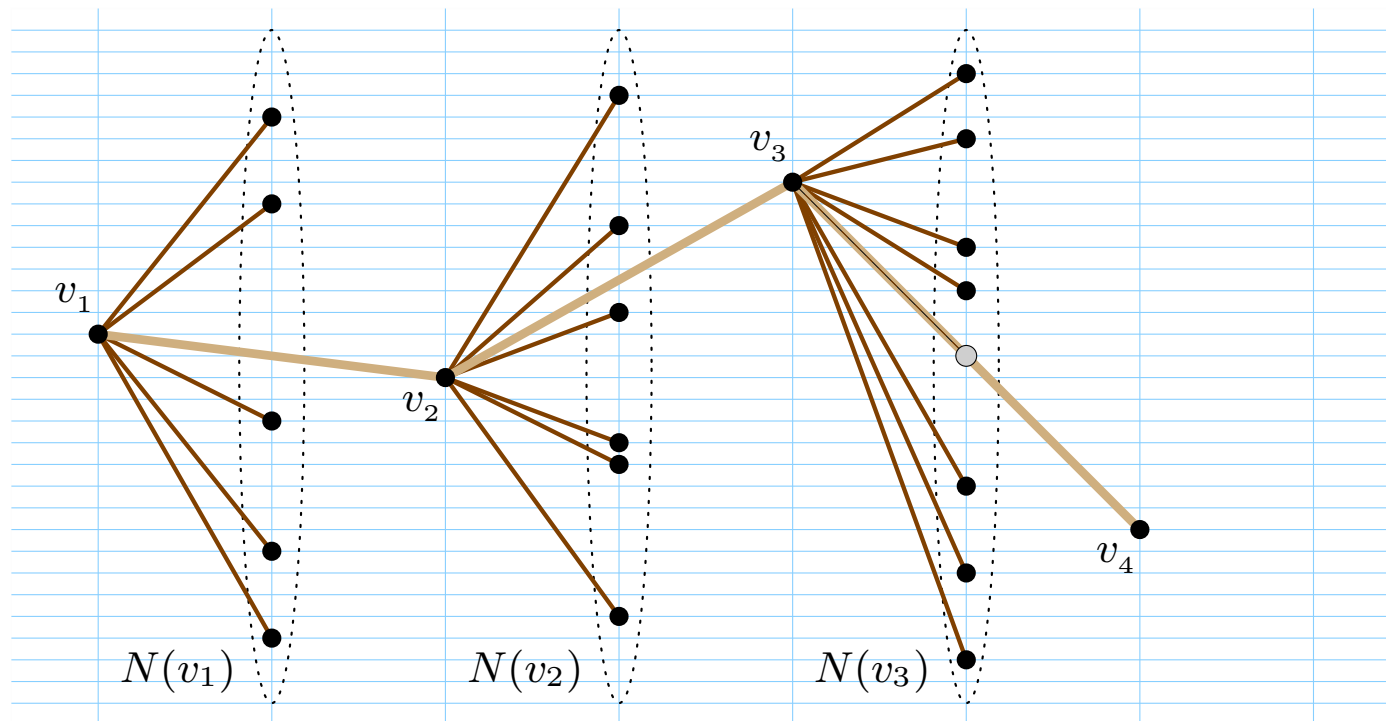




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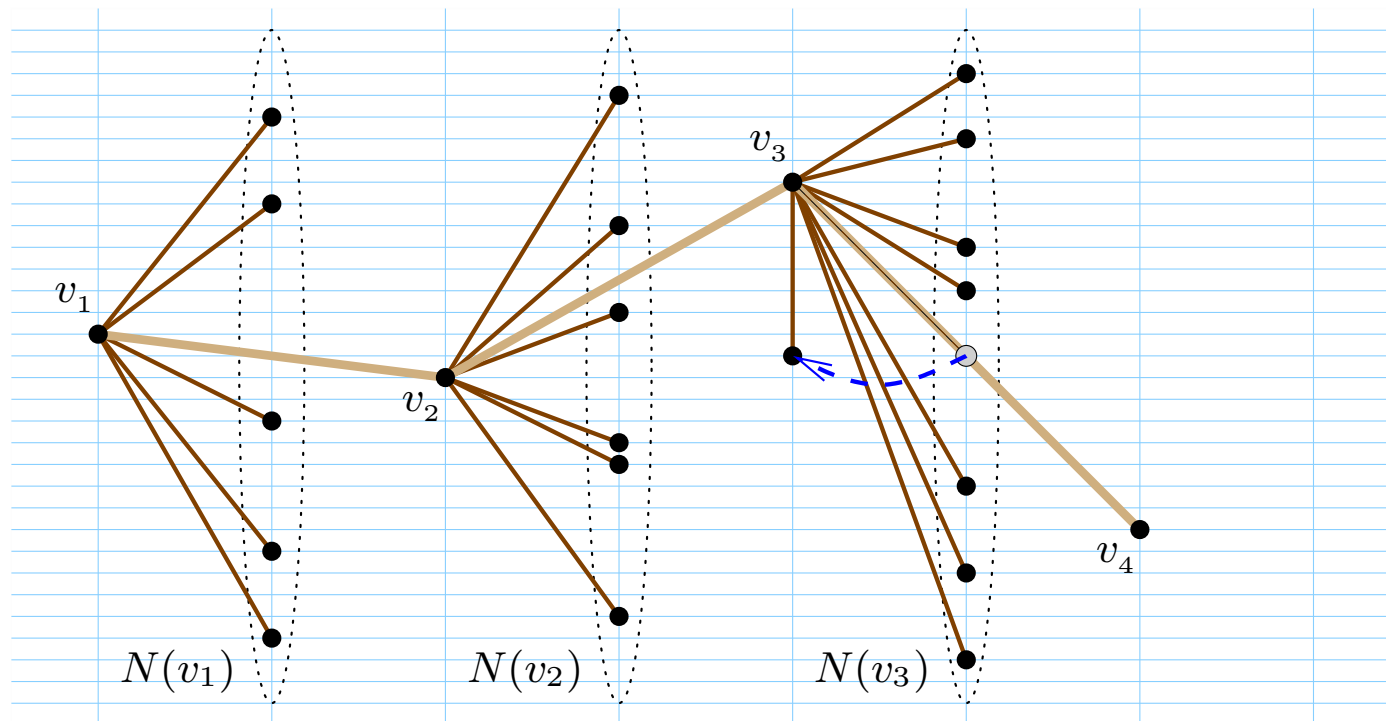




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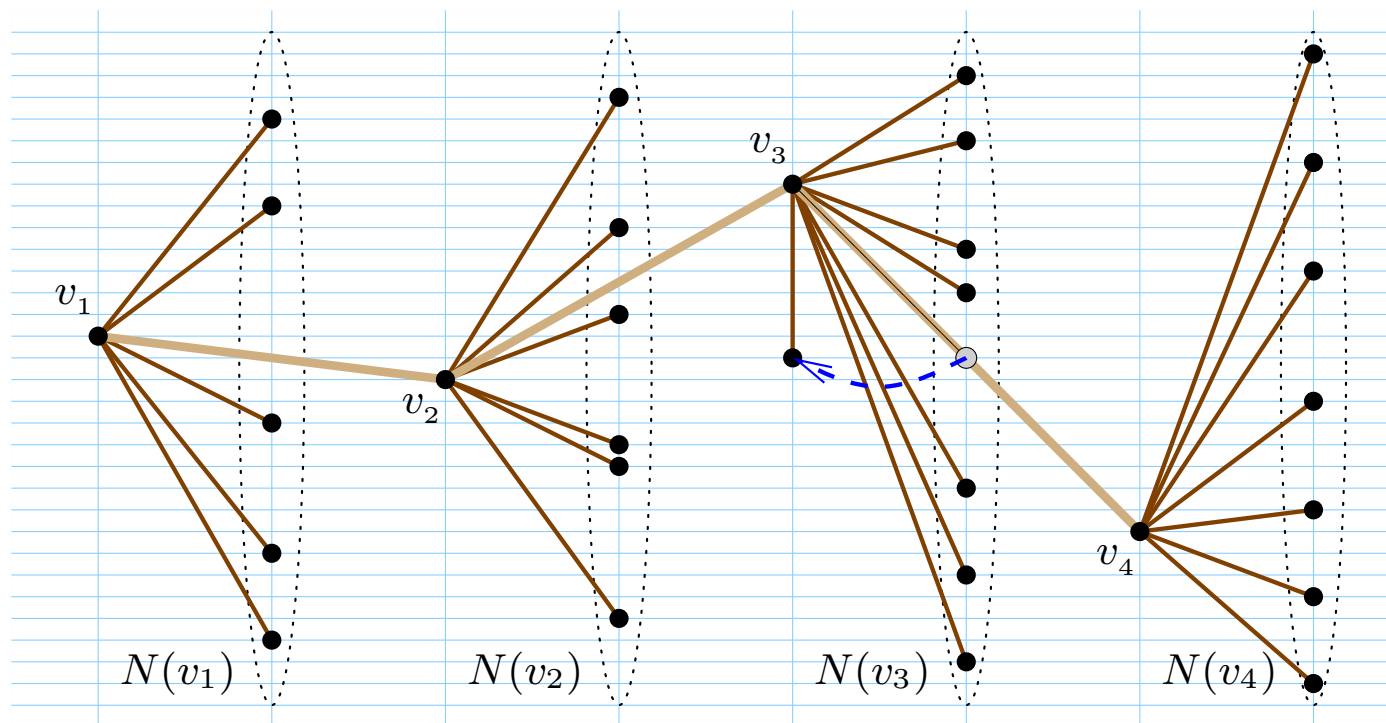




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Radius-2 Stars – Linear Time Realization

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- An n -level realization of a radius-2 star in linear time yields the next lemma:

Lemma 4 *An n -vertex radius-2 star $T(V, E)$ can be n -level realized in $O(n)$ time on a $(2n + 3) \times n$ grid for any vertex labeling $\phi : V \xrightarrow[onto]{1:1} \{1, 2, \dots, n\}$.*



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- Proof idea:
 - ▶ Embed root vertex in middle of the x -coordinates



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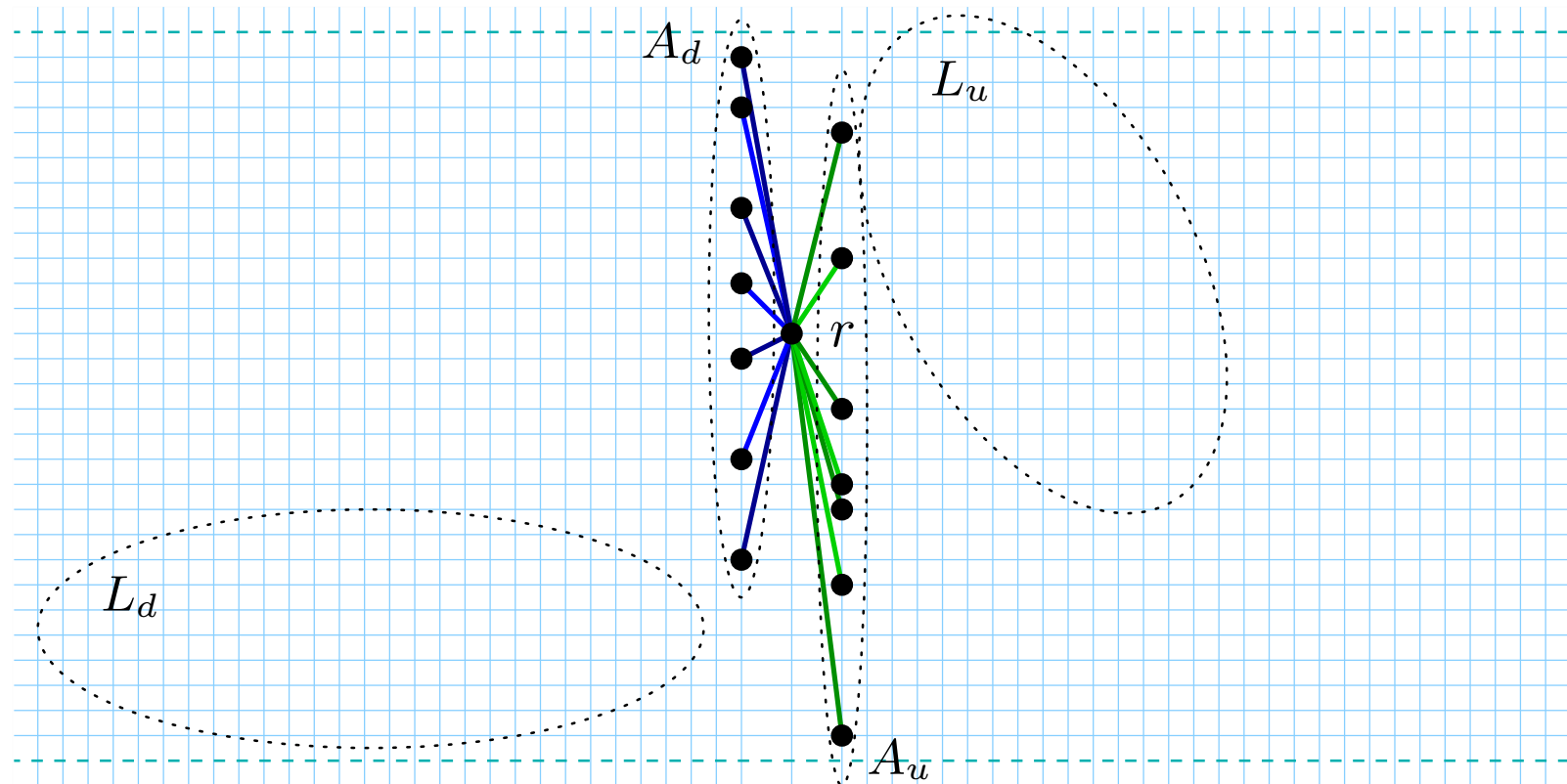
- ▶ Embed root vertex in middle of the x -coordinates
- ▶ Then embed adjacent vertices that have a leaf vertex below to the left, otherwise to the right
- ▶ Embed leaf vertices so that their incident edge segment has a slope of 1
 - ◆ Use imaginary levels above and below to determine x -coordinate



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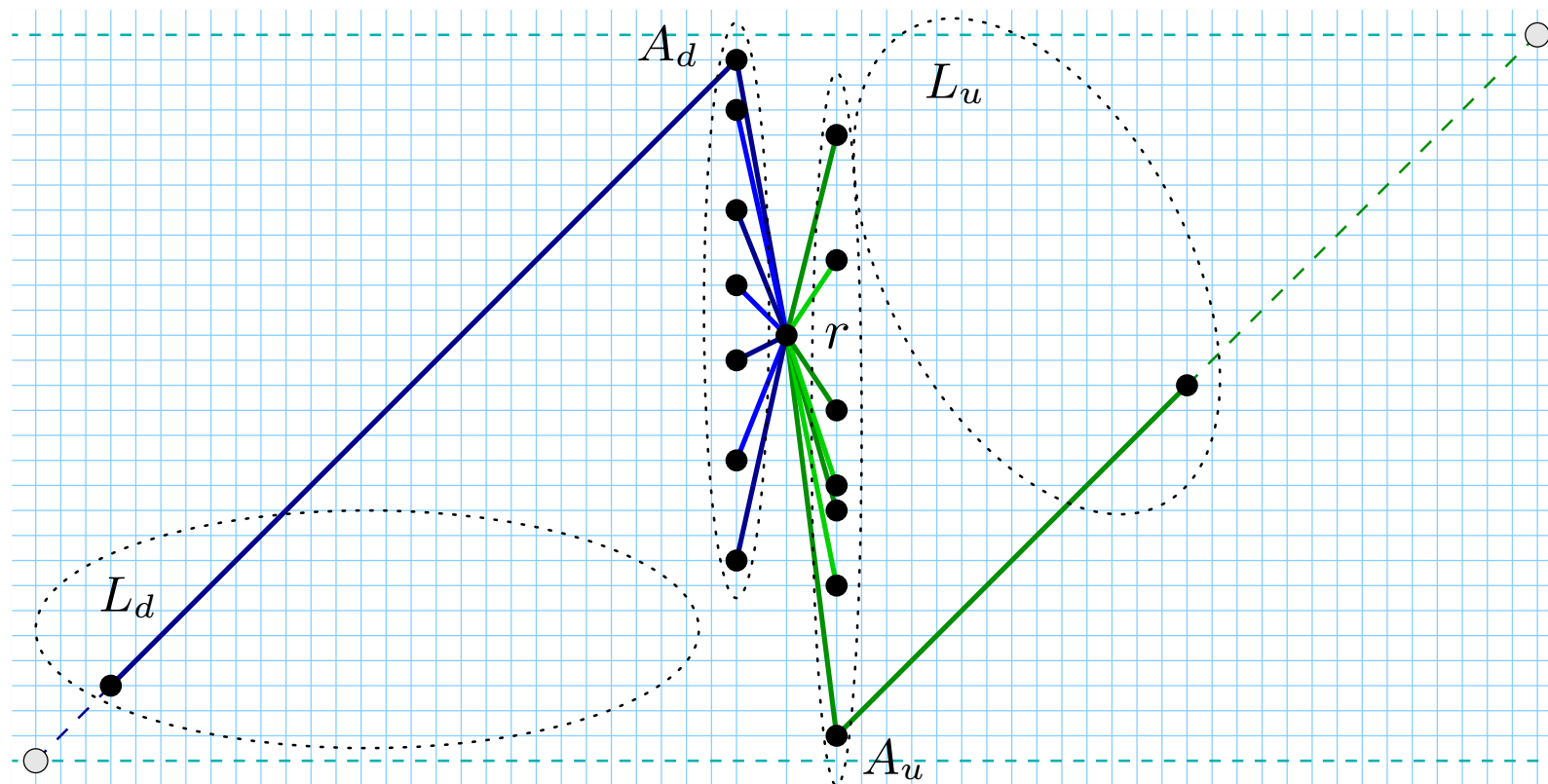




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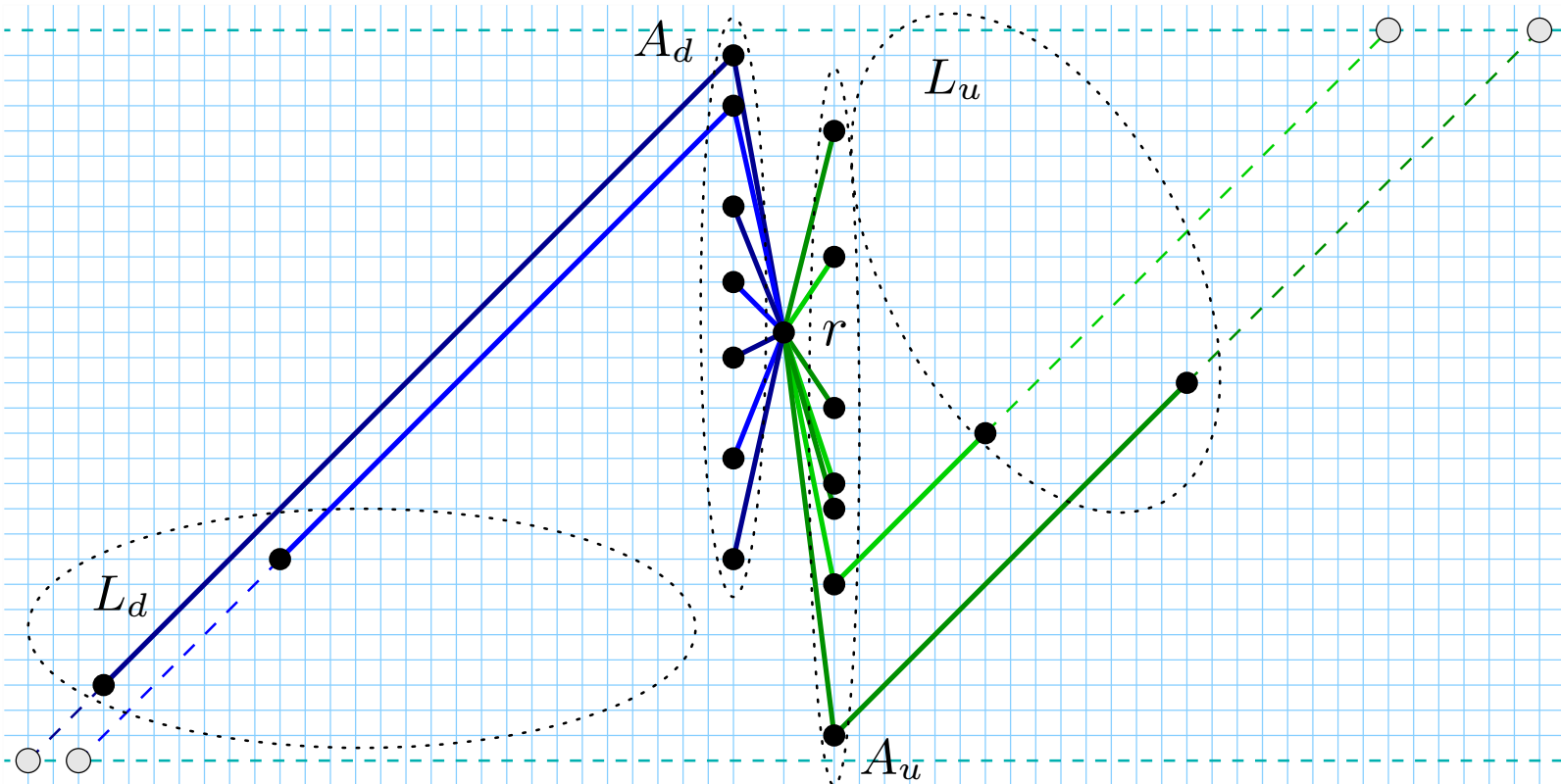




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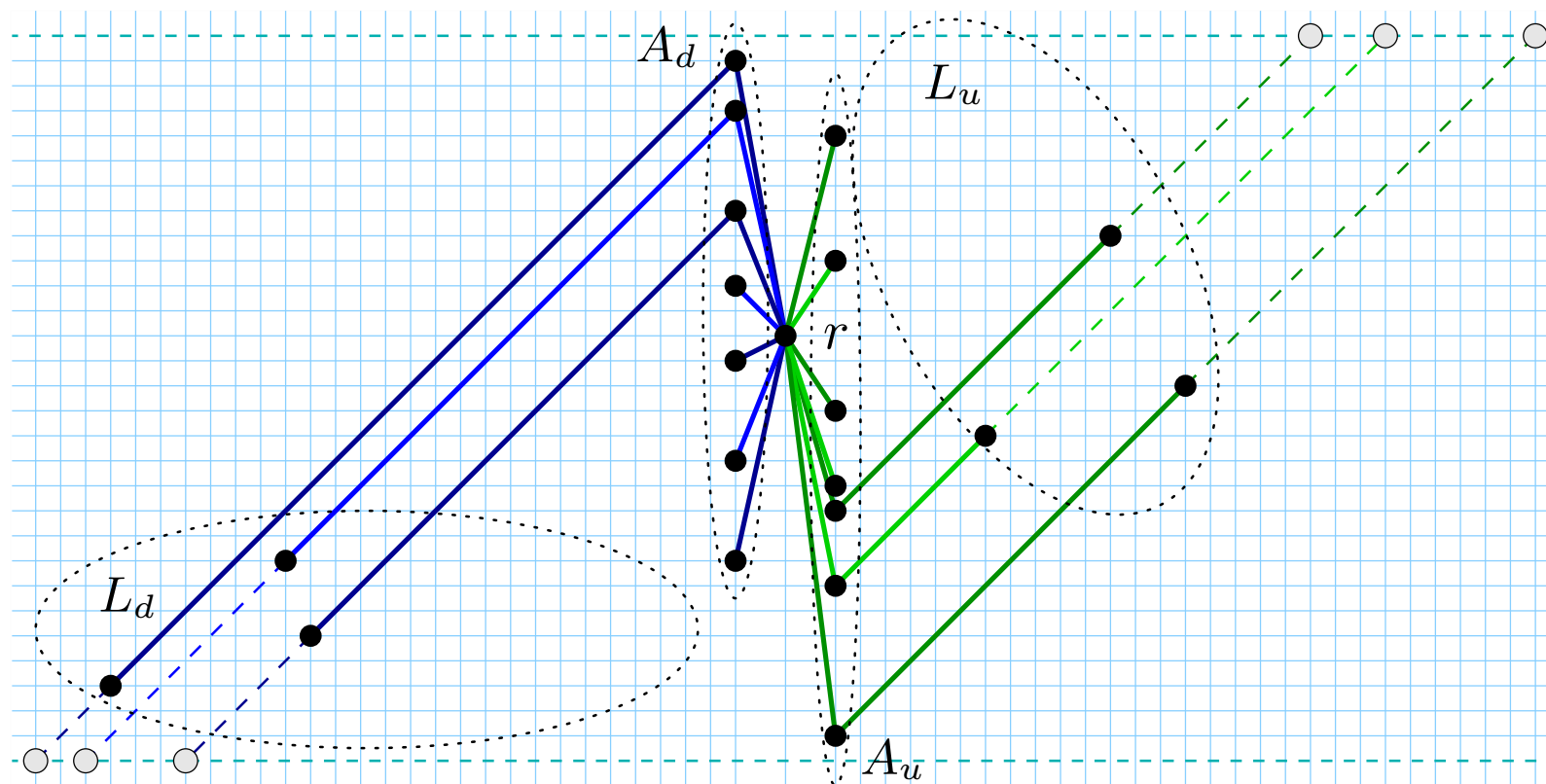




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- An n -level realization of a radius-2 star in linear time yields the next lemma:

Lemma 4 *An n -vertex radius-2 star $T(V, E)$ can be n -level realized in $O(n)$ time on a $(2n + 3) \times n$ grid for any vertex labeling $\phi : V \xrightarrow[onto]{1:1} \{1, 2, \dots, n\}$.*

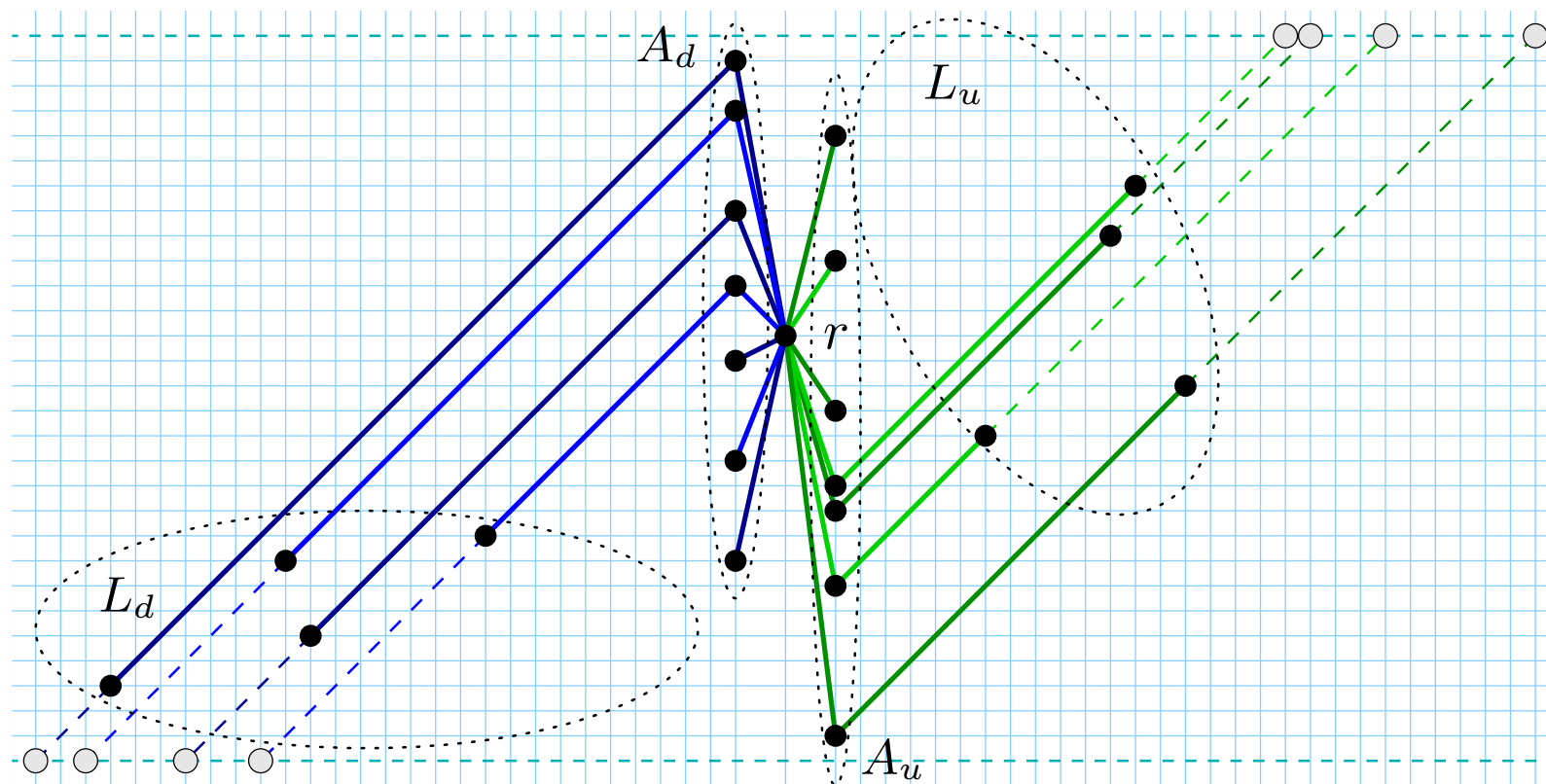




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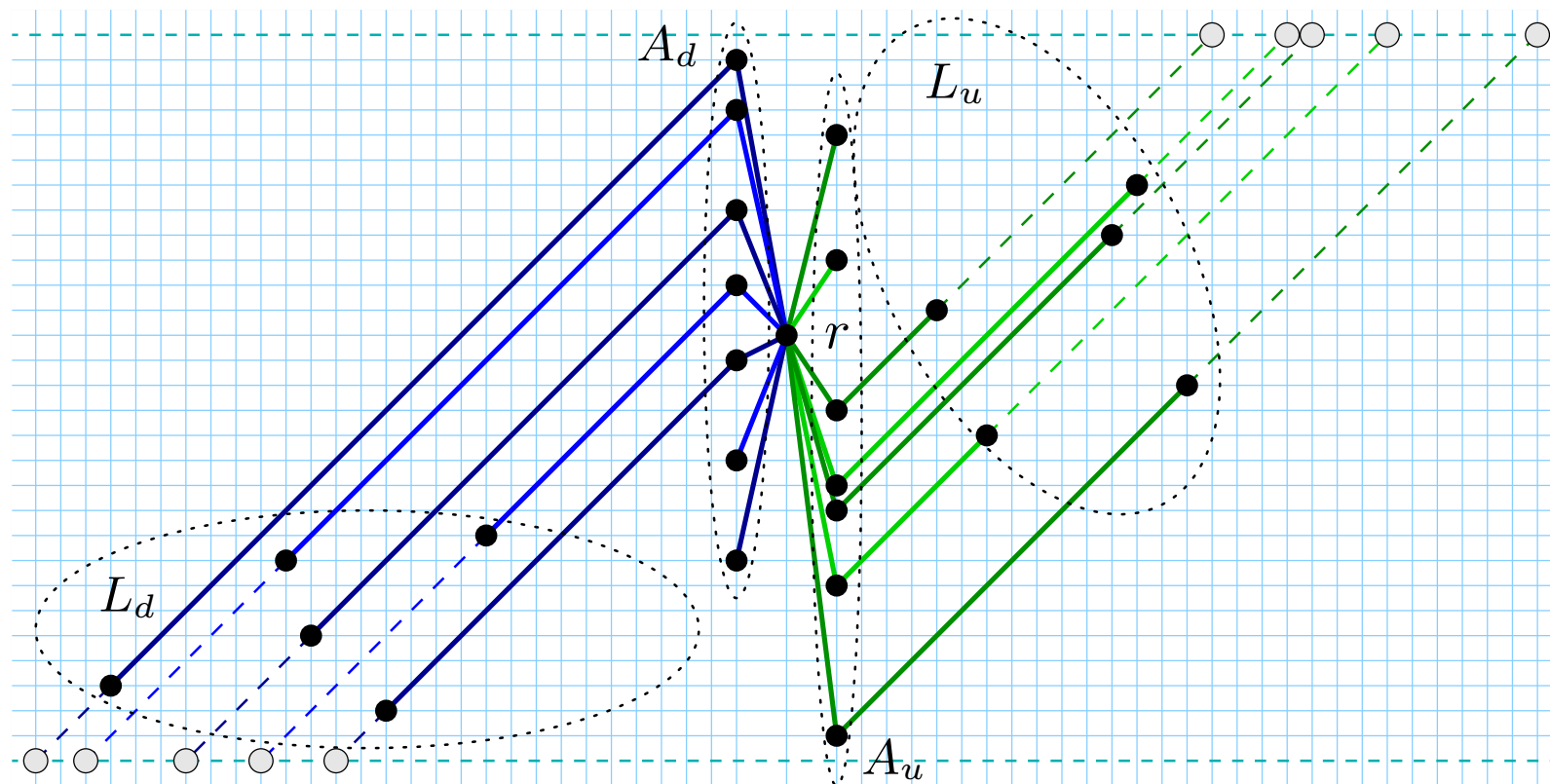




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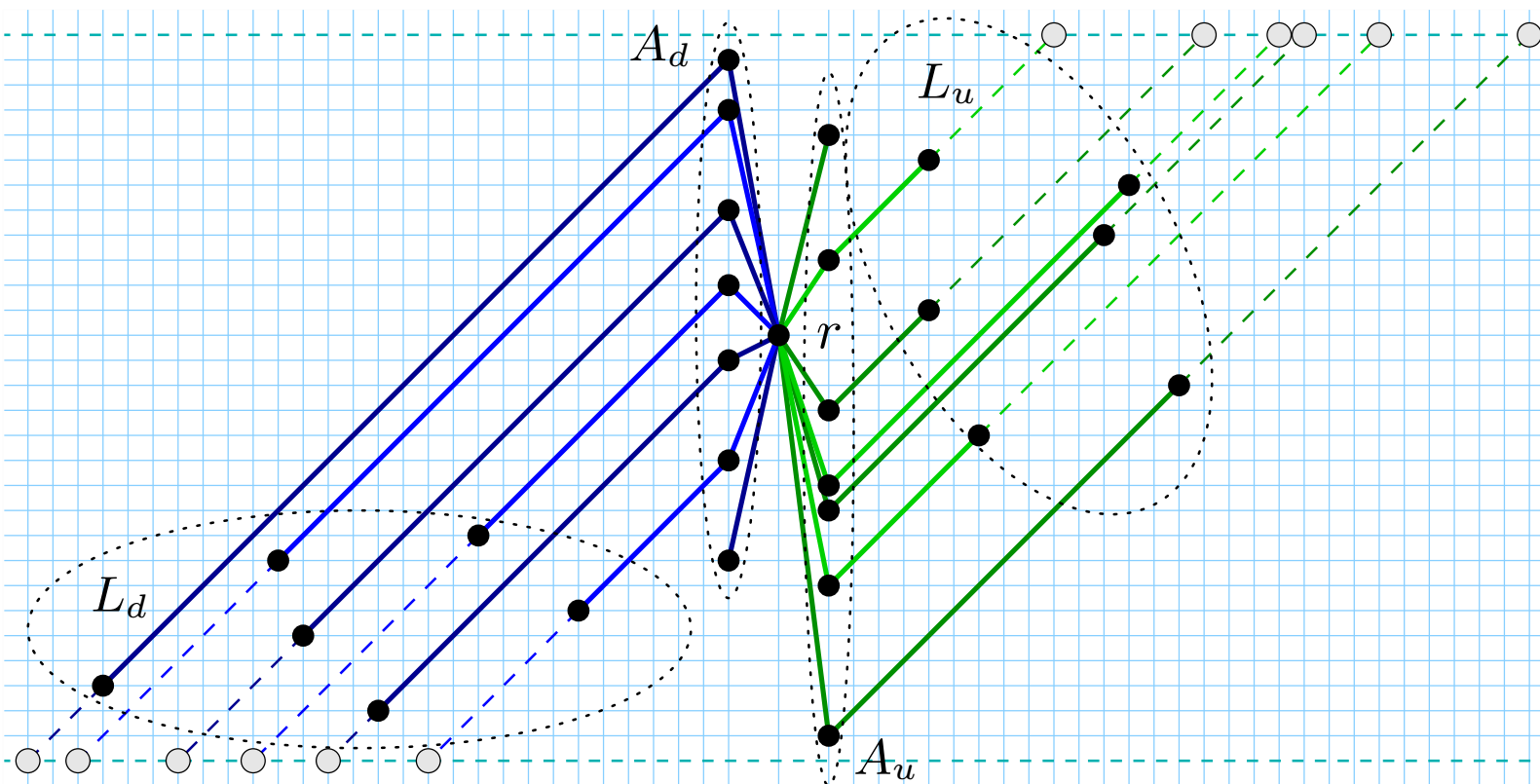




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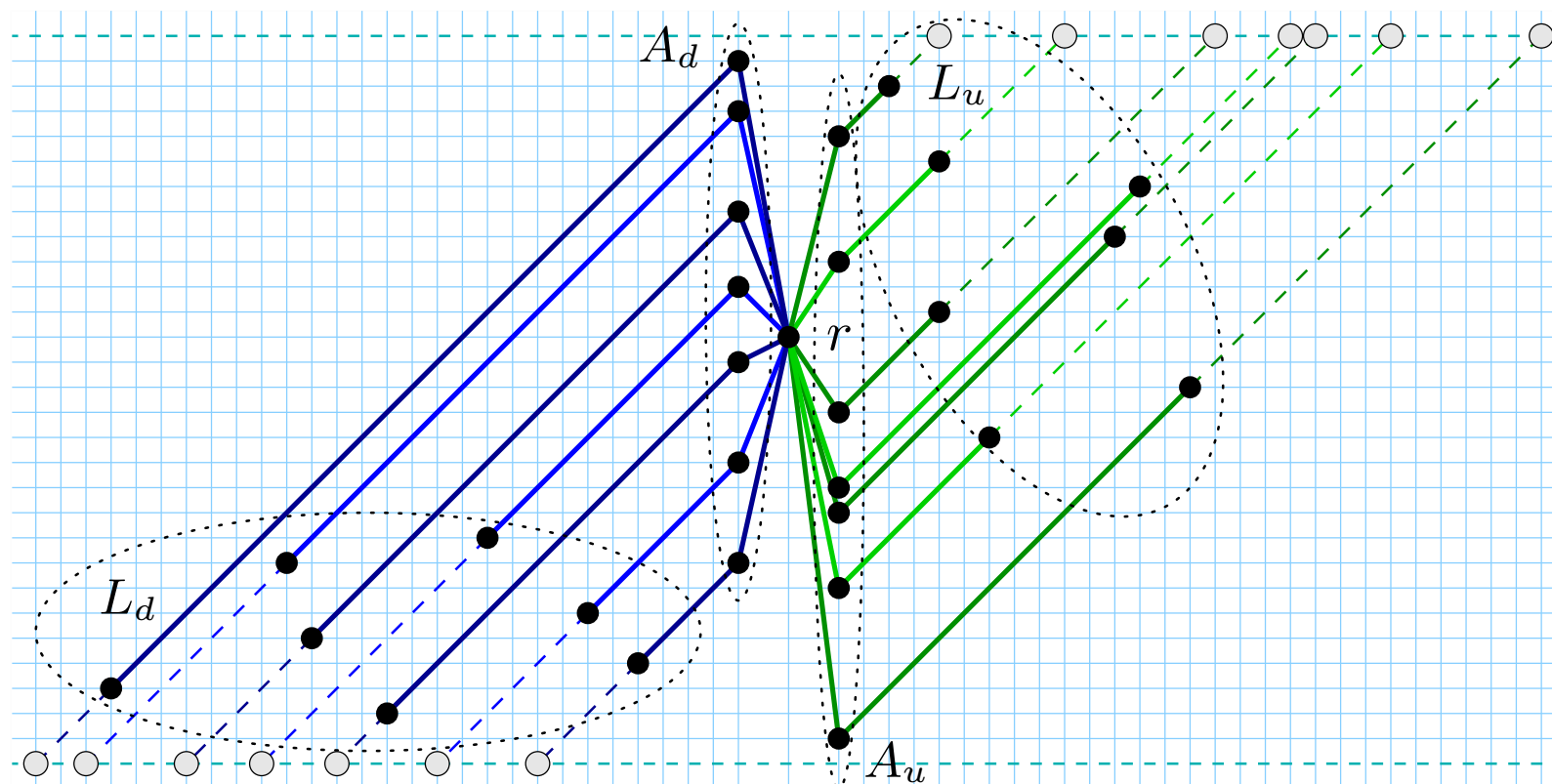




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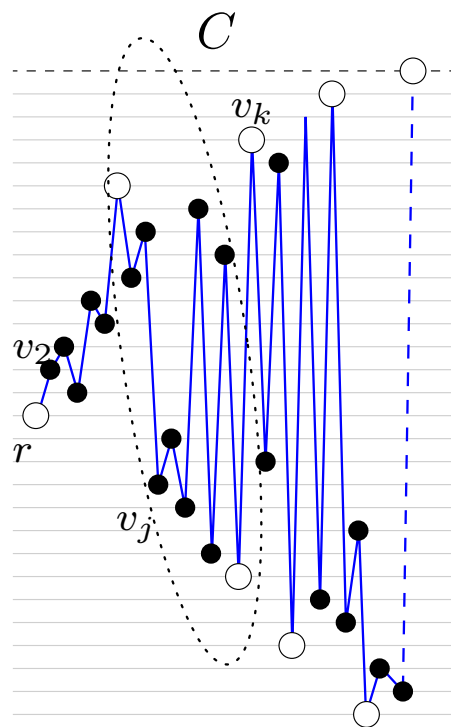
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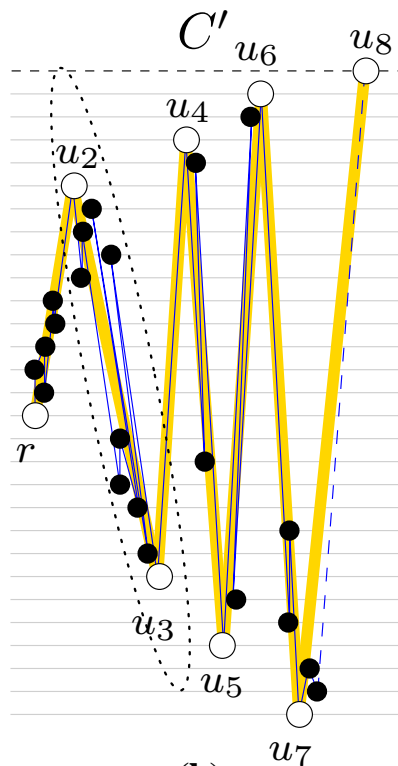
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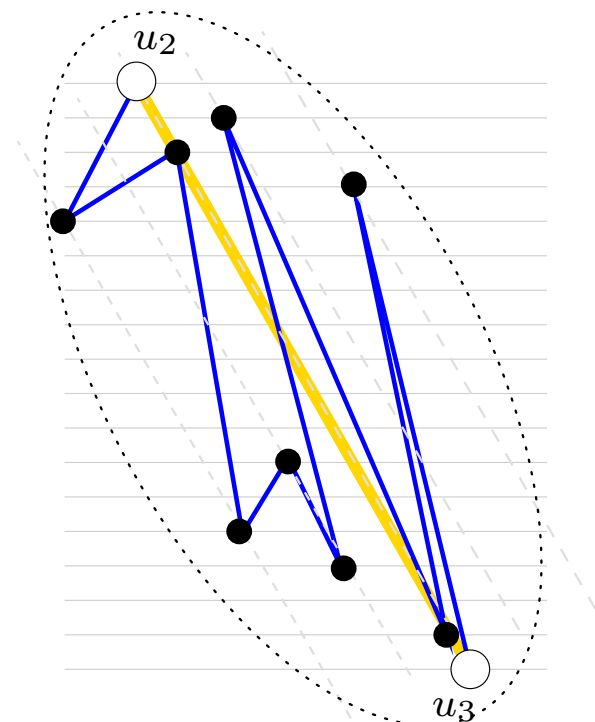
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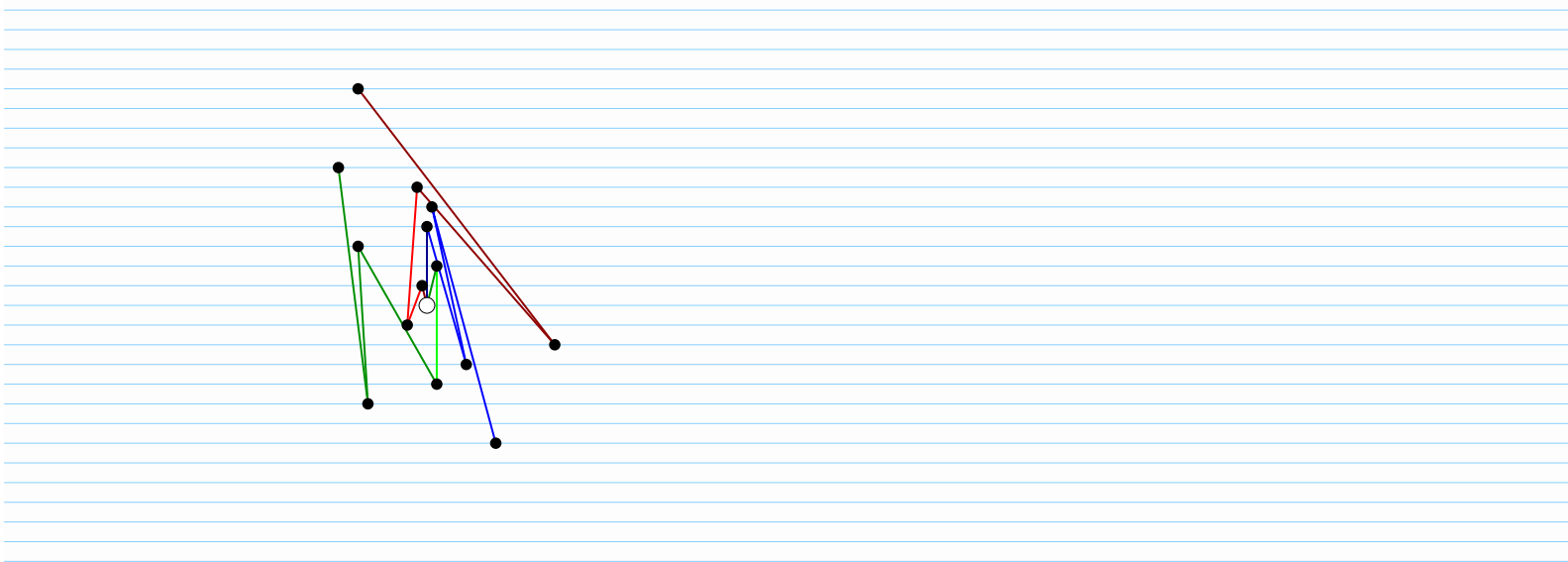




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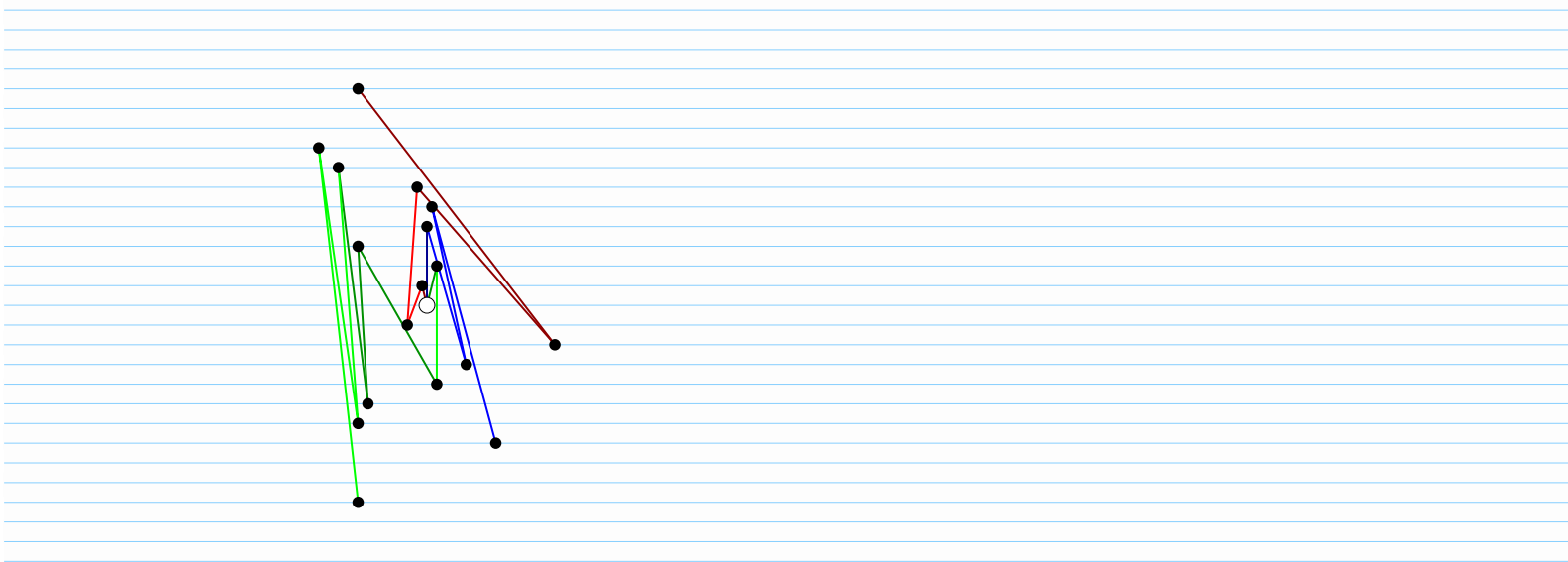




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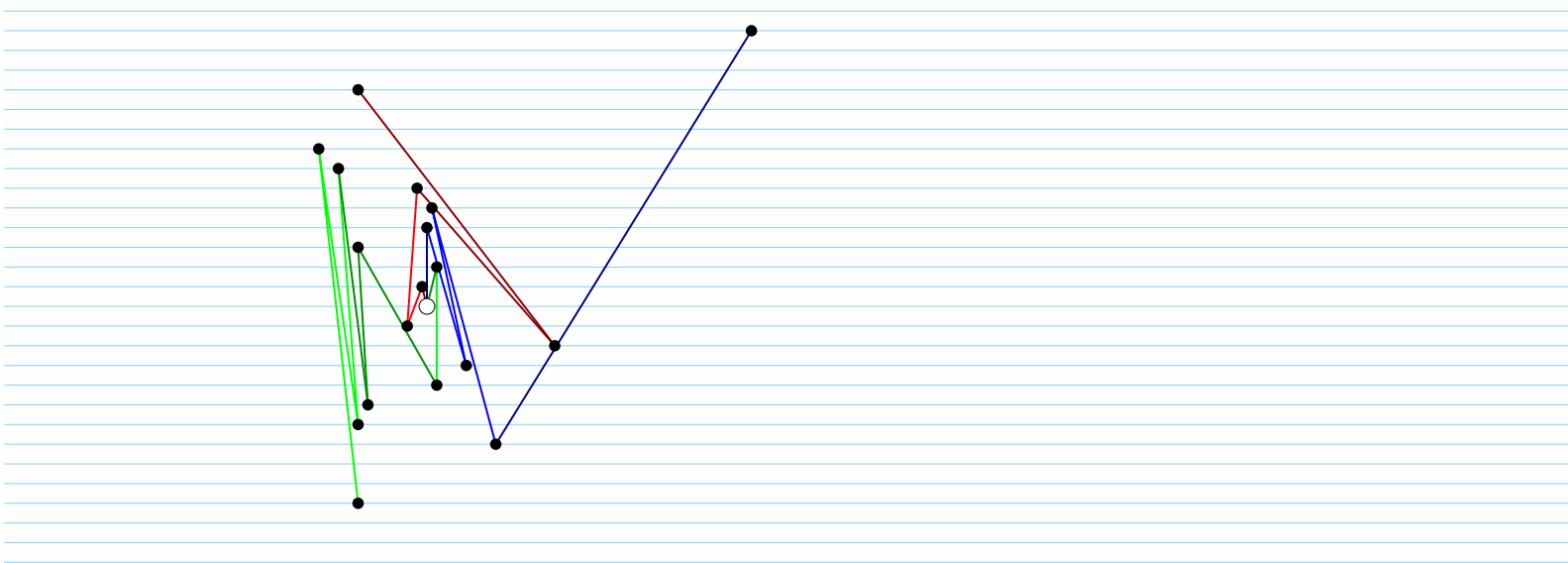




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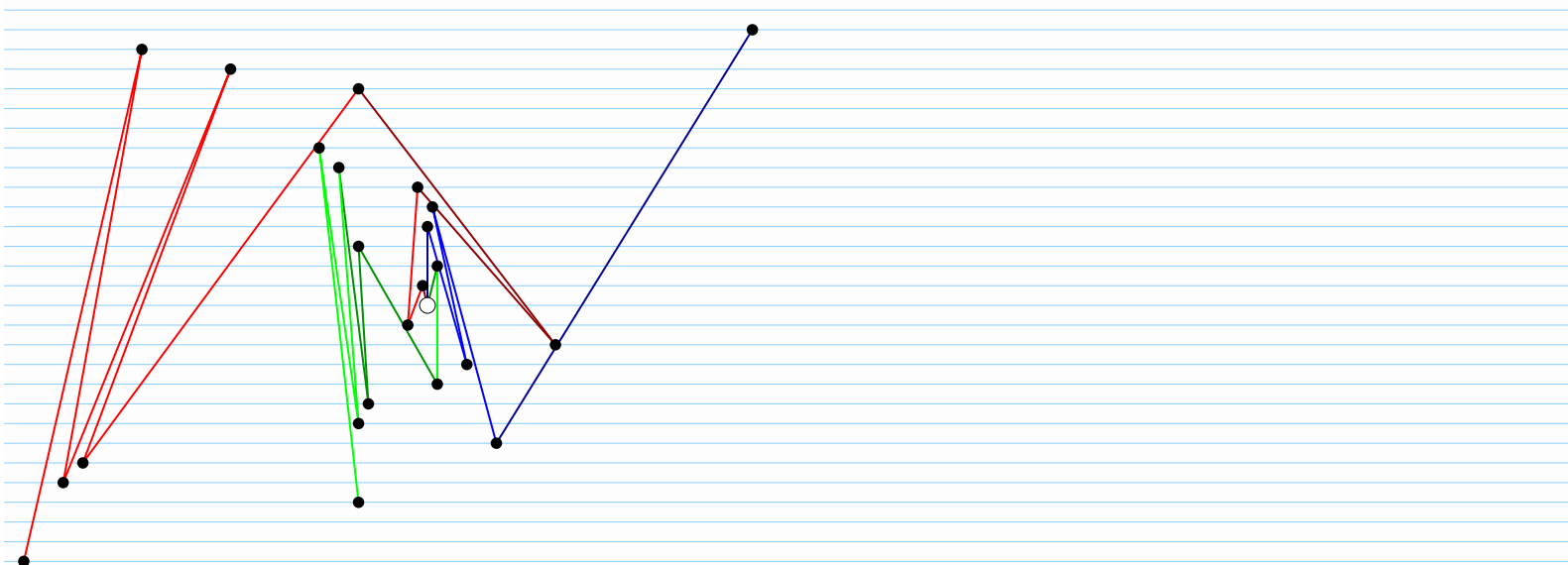




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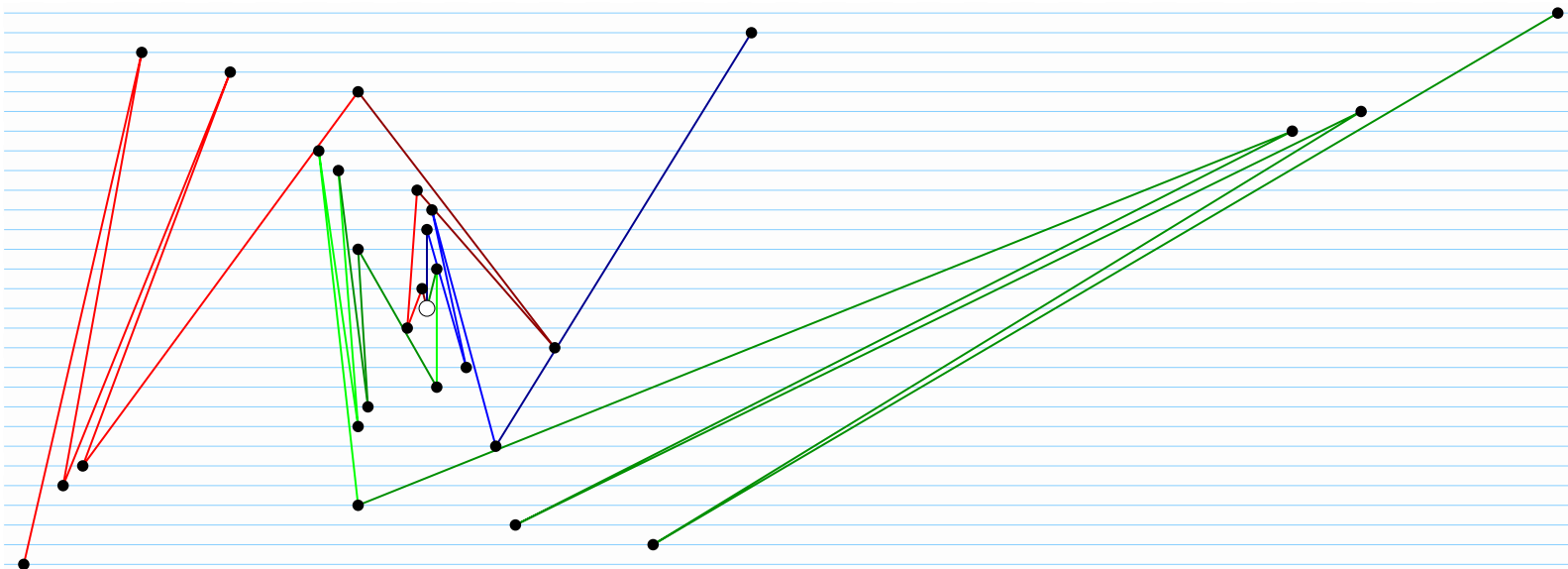




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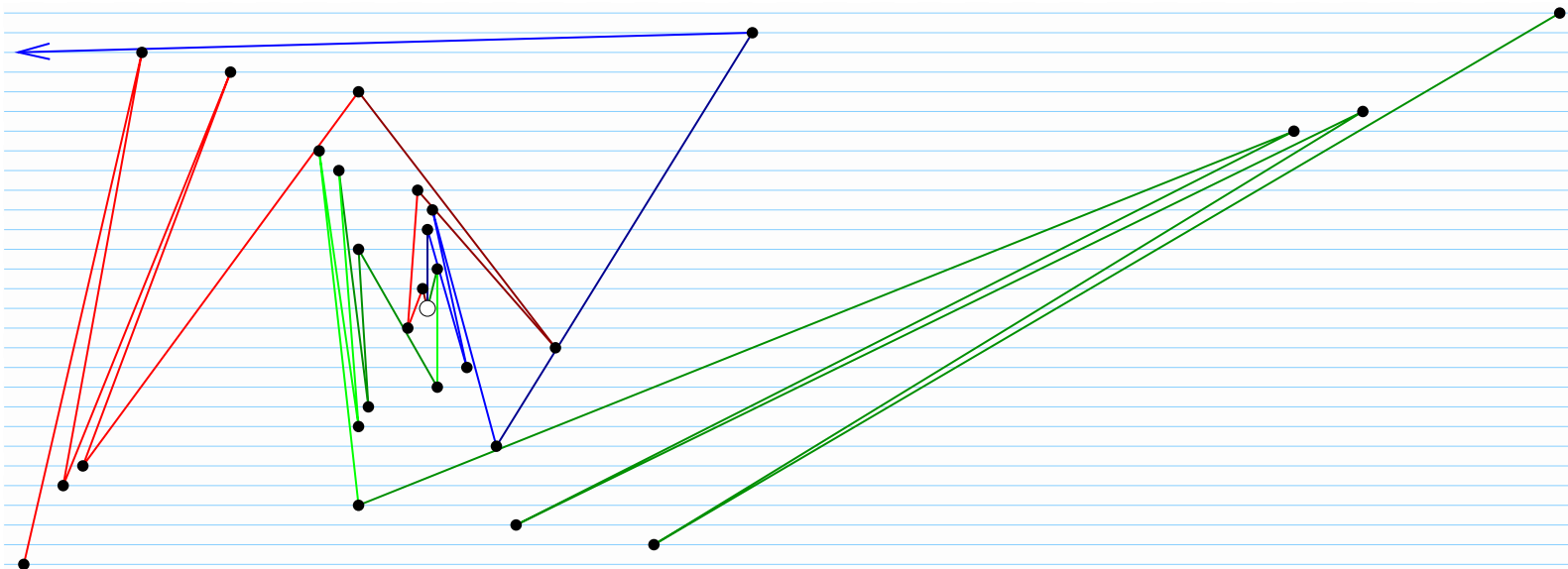




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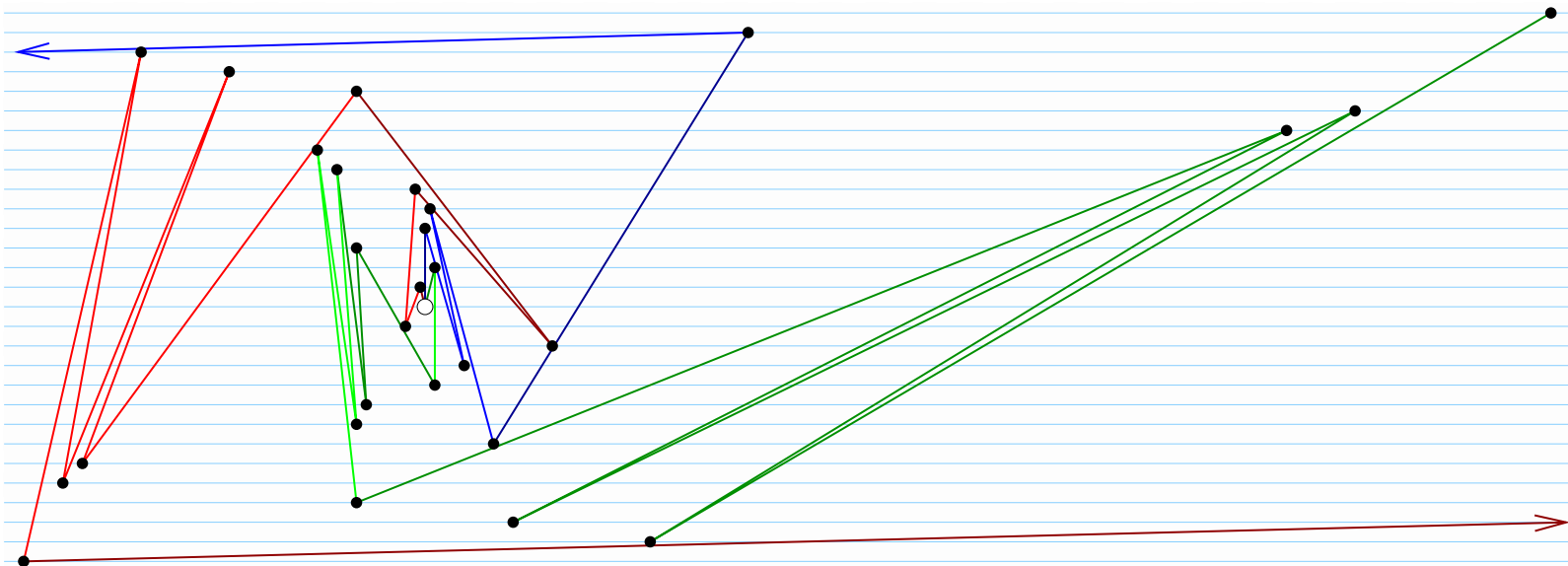




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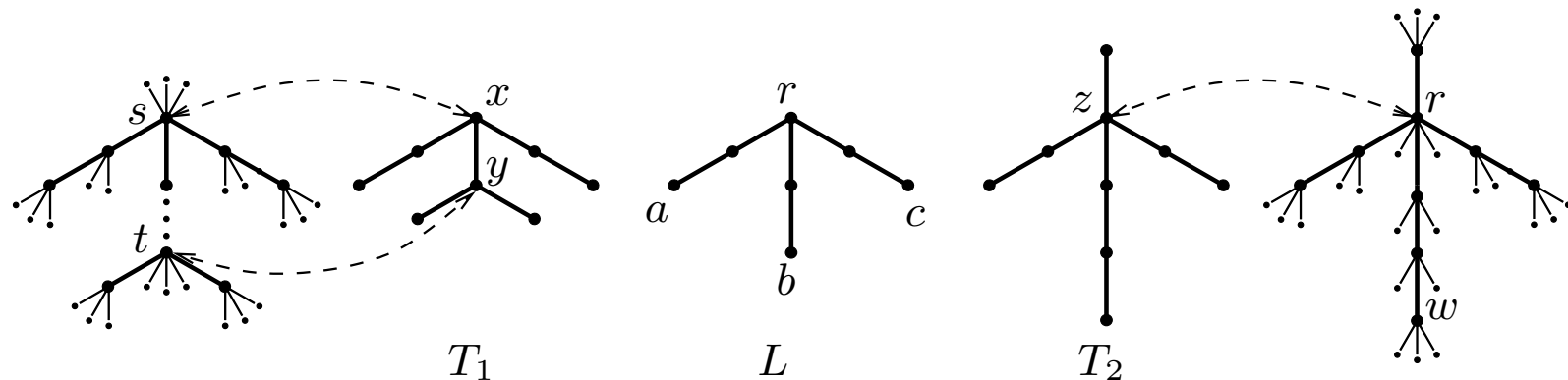
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Forbidden Trees – Minimality

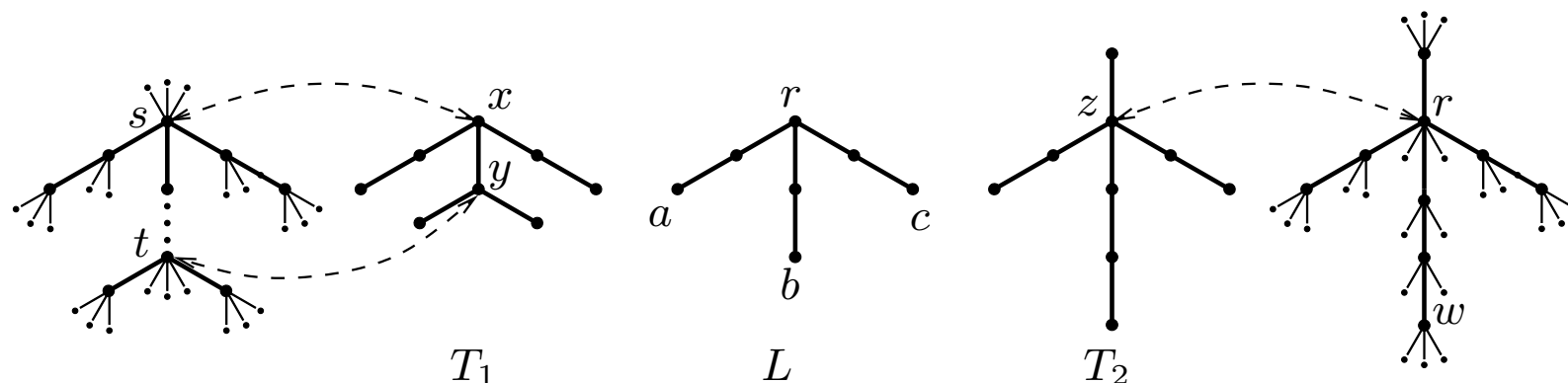


- Brute force consideration of the removal of edges from T_1 and T_2 gives the following lemma:

Lemma 6 *Removing any edge from T_1 or T_2 yields a forest of ULP trees.*



Forbidden Trees – Characterization

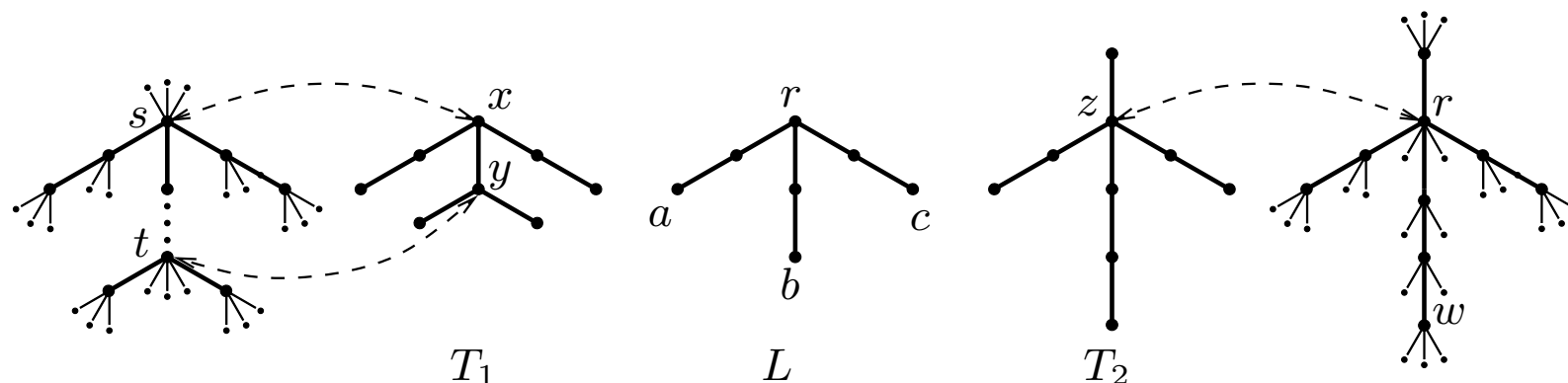


- A minimal lobster argument gives the next theorem:

Theorem 7 *Every tree either contains a subdivision of T_1 or T_2 in which case it is not ULP, or it is a caterpillar, a radius-2 star, or a 3 spider in which case it is ULP.*



Forbidden Trees – Characterization



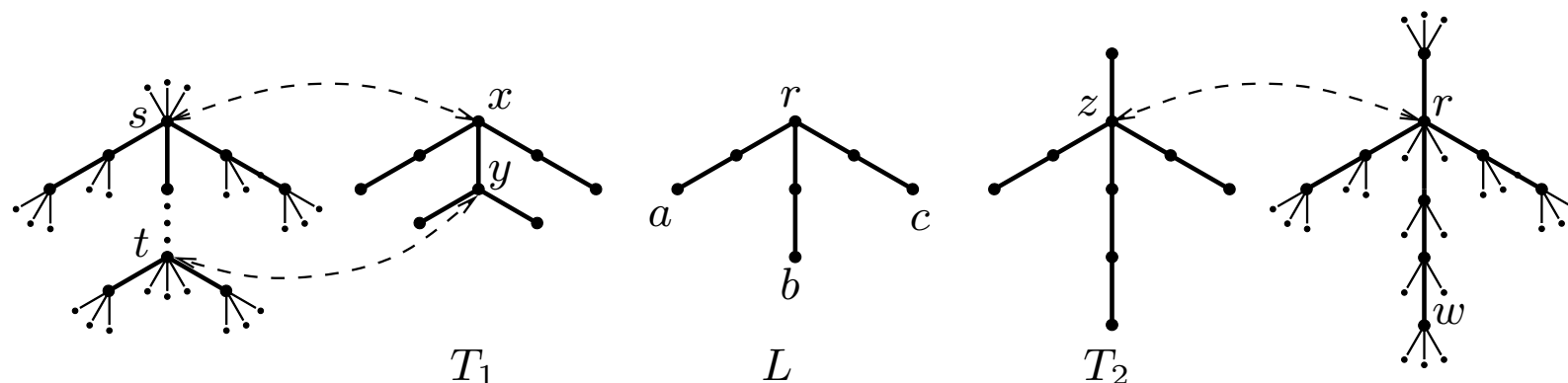
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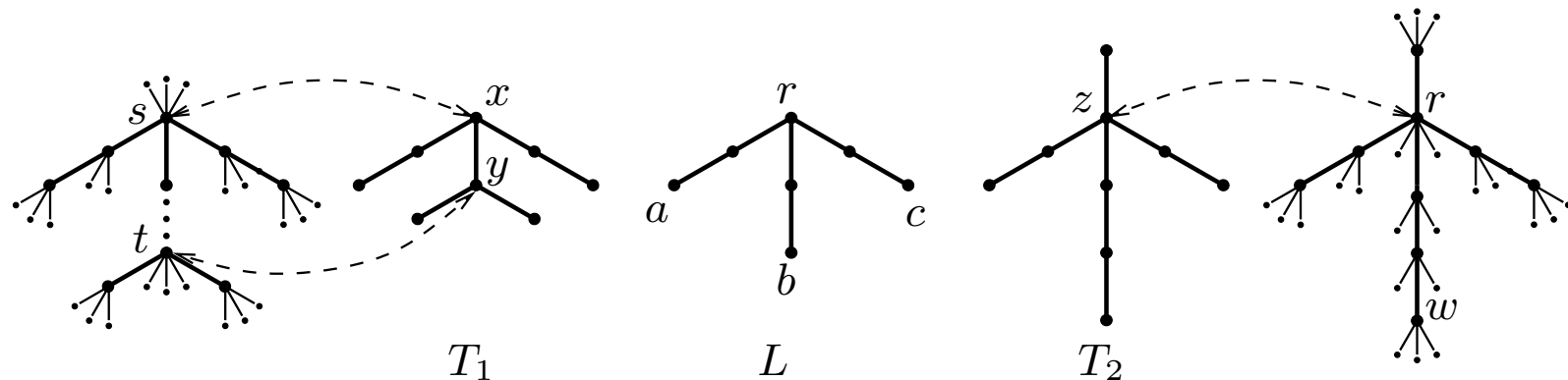
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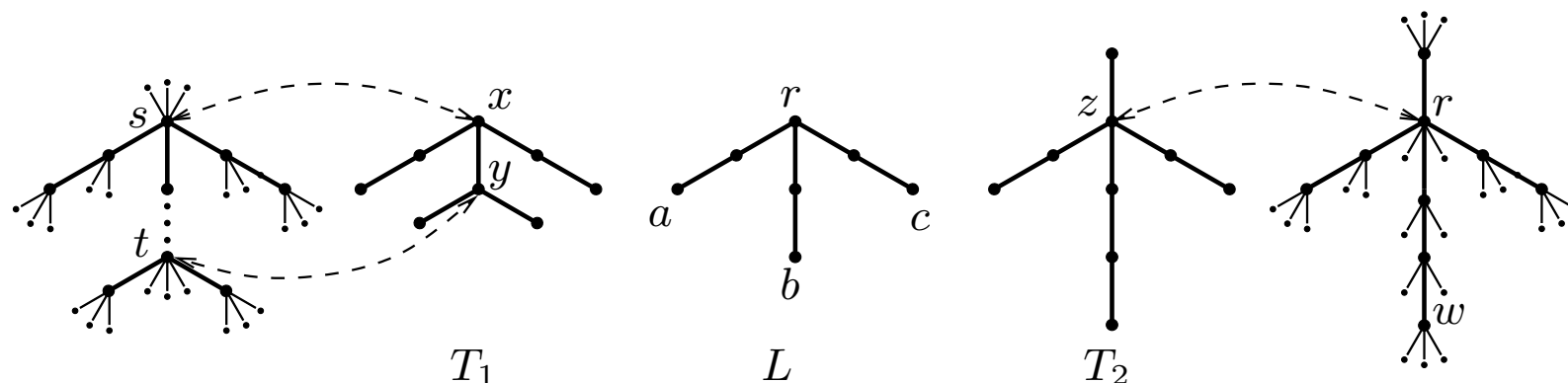
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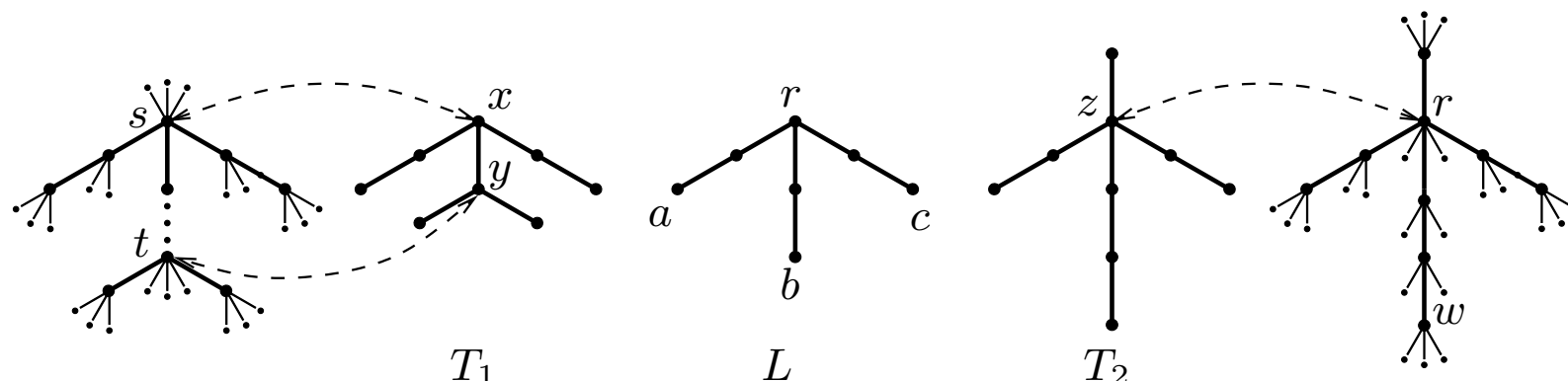
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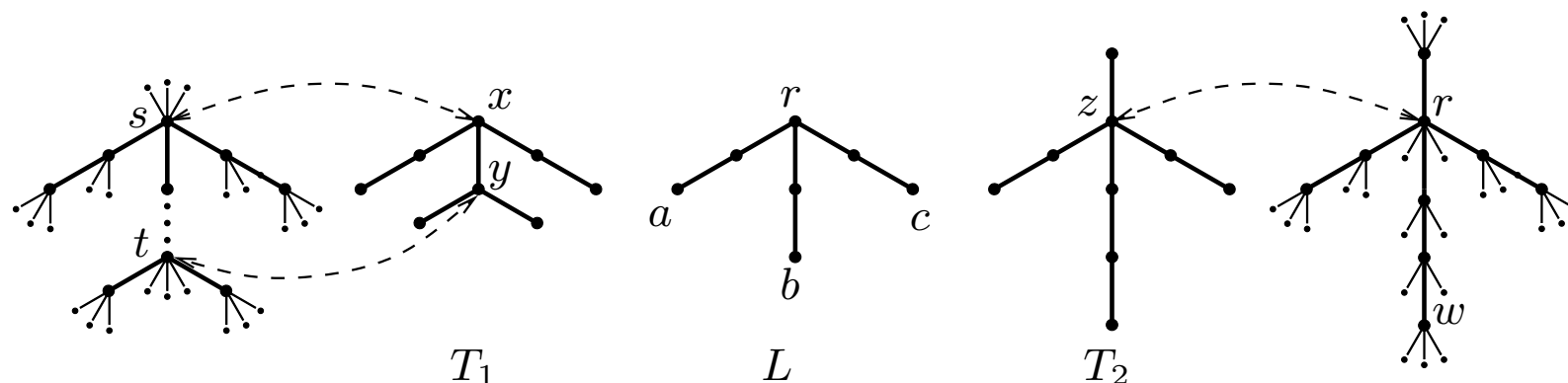
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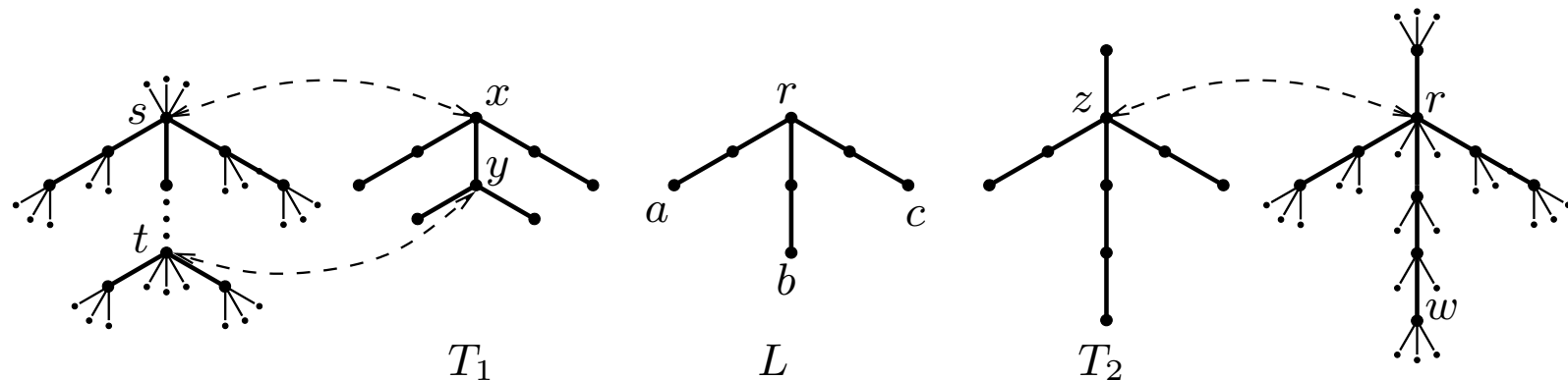
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 - ◆ Has at least two vertices of degree-3—contains T_1
 - ◆ Has at least one vertex of degree-4—contains T_2



ULP Trees – Recognition

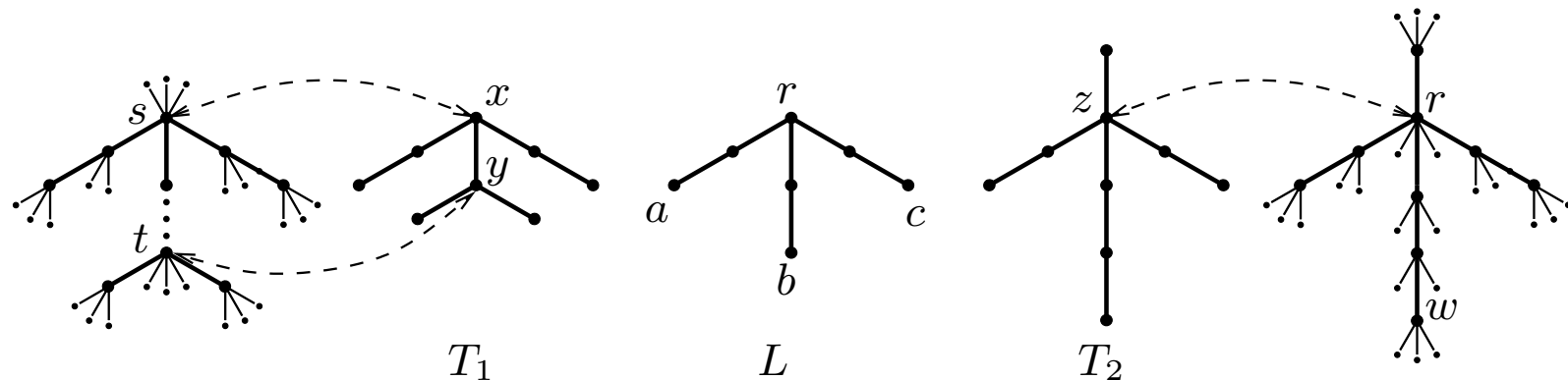


- The final corollary is a consequence of Theorem 7 and properties of degree sequences of caterpillars, radius-2 stars, and degree-3 spiders (Lemmas 8, 9, 10, resp.):

Corollary 11 *The class of ULP trees can be recognized in linear time.*



ULP Trees – Recognition



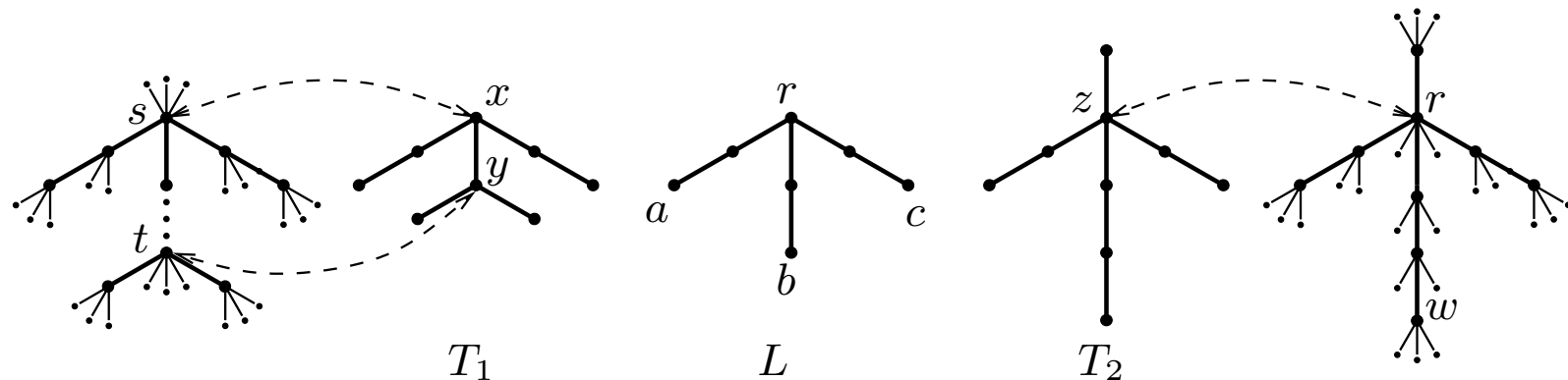
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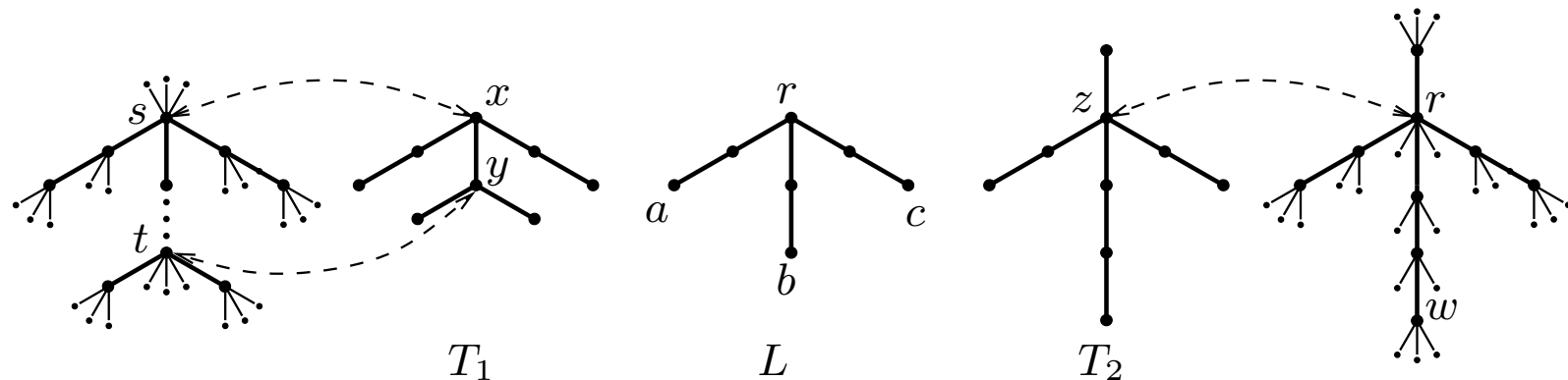
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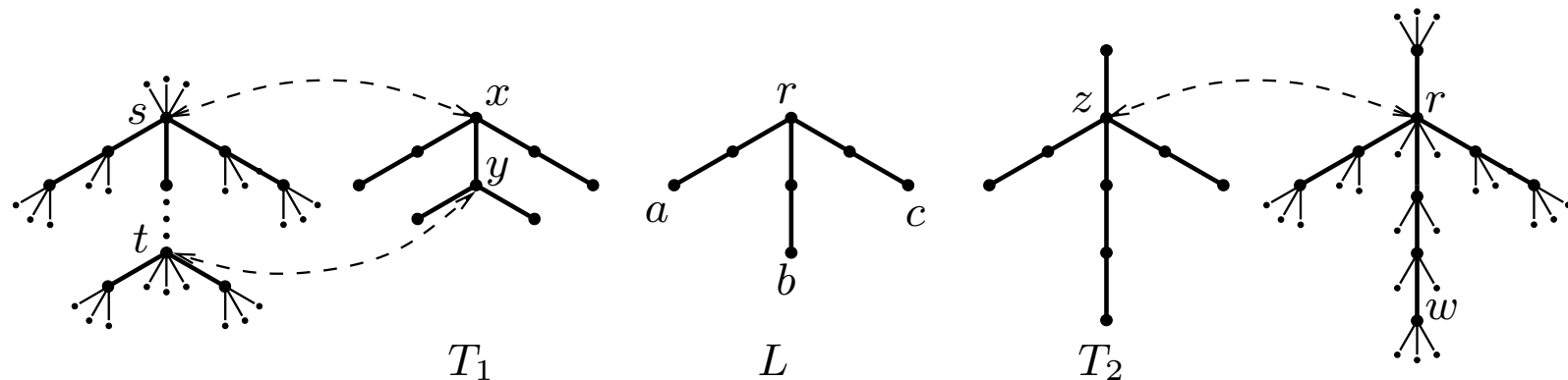
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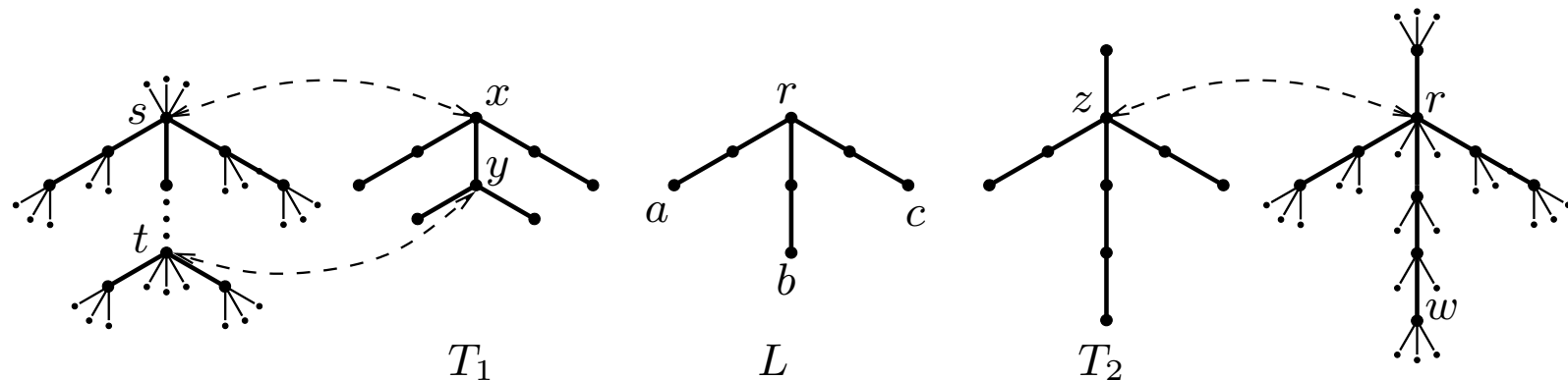
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 - ◆ **Star** in which case it is a radius-2 star



Future Work

- Provide certificate of unlabeled level non-planarity
 - ▶ I.e., find copy of T_1 or T_2



Future Work

- Provide certificate of unlabeled level non-planarity
 - ▶ I.e., find copy of T_1 or T_2
- Provide similar characterization of all graphs



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- Provide certificate of unlabeled level non-planarity
 - ▶ I.e., find copy of T_1 or T_2
- Provide similar characterization of all graphs
- Also provide recognition algorithm for all graphs



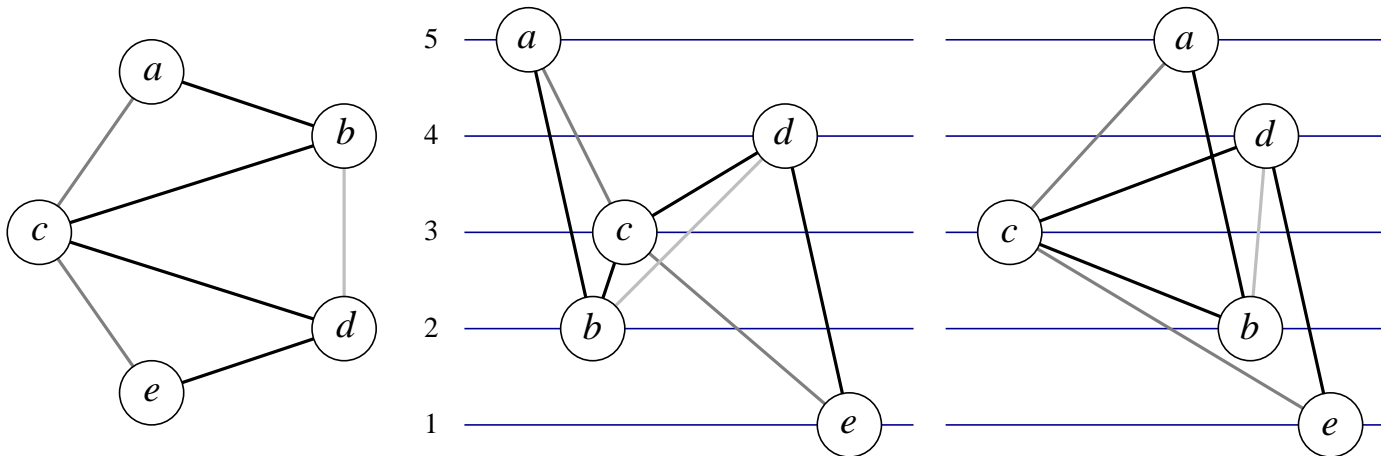
Preview of Future Work

- There are five forbidden ULP subdivisions with cycles



Preview of Future Work

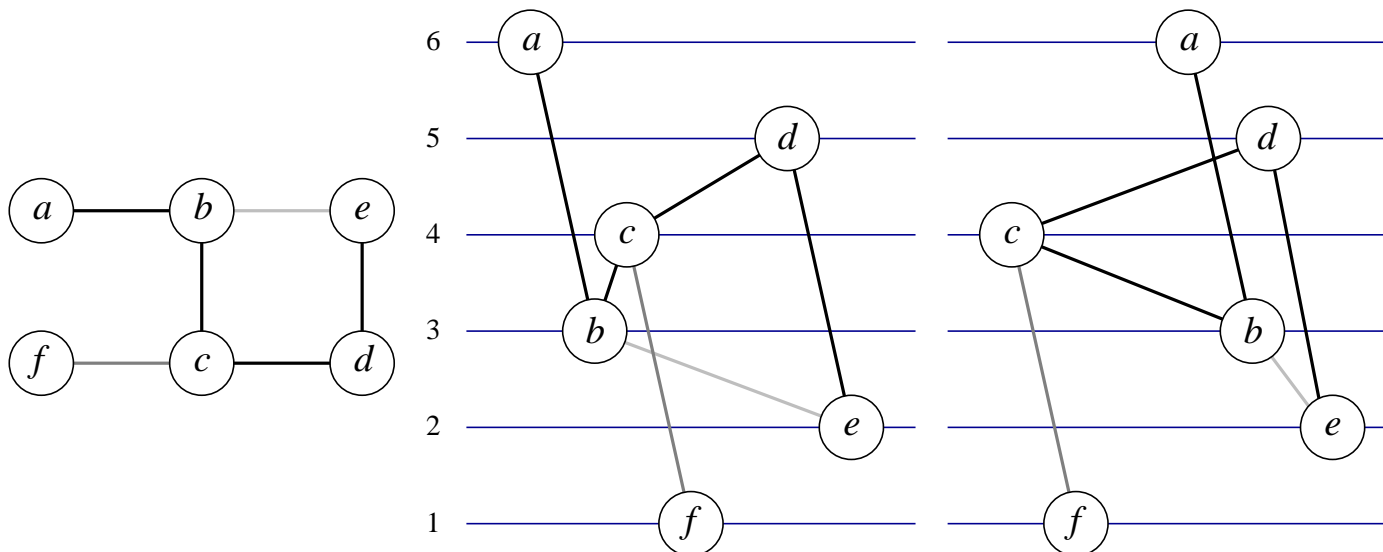
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 - ▶ First has 5 vertices and two degree 4 vertices





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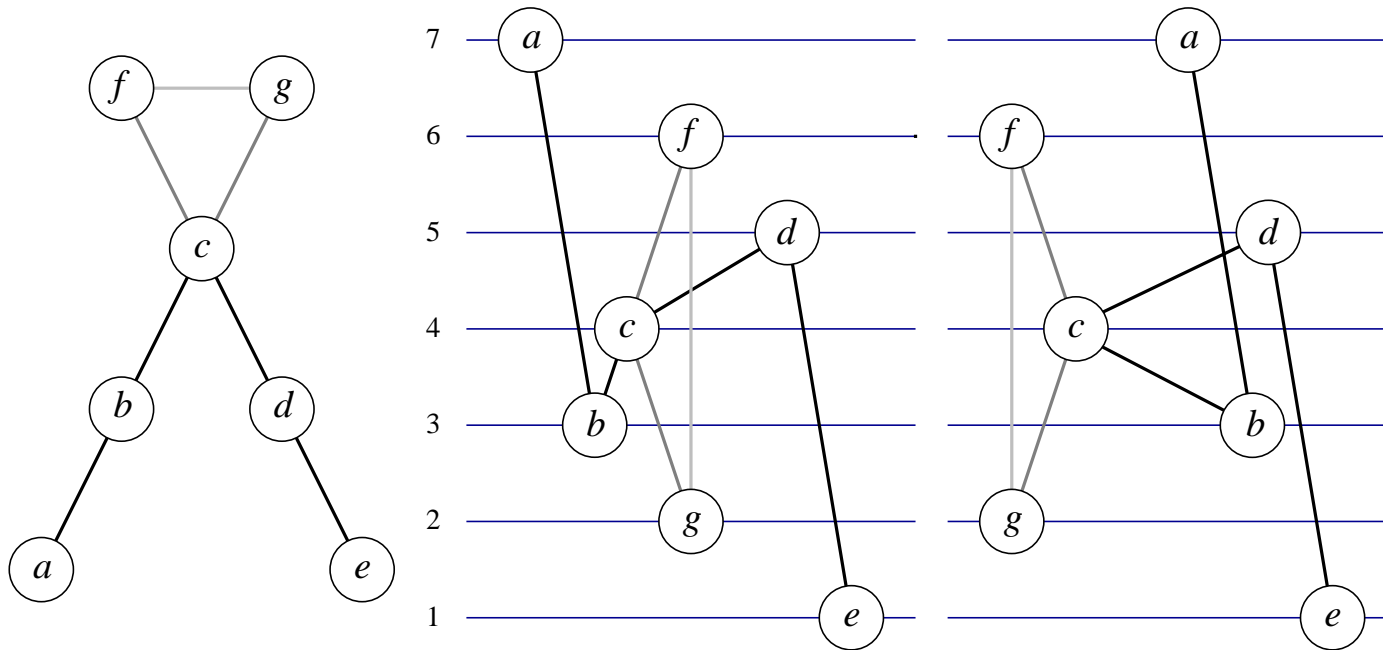
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Preview of Future Work

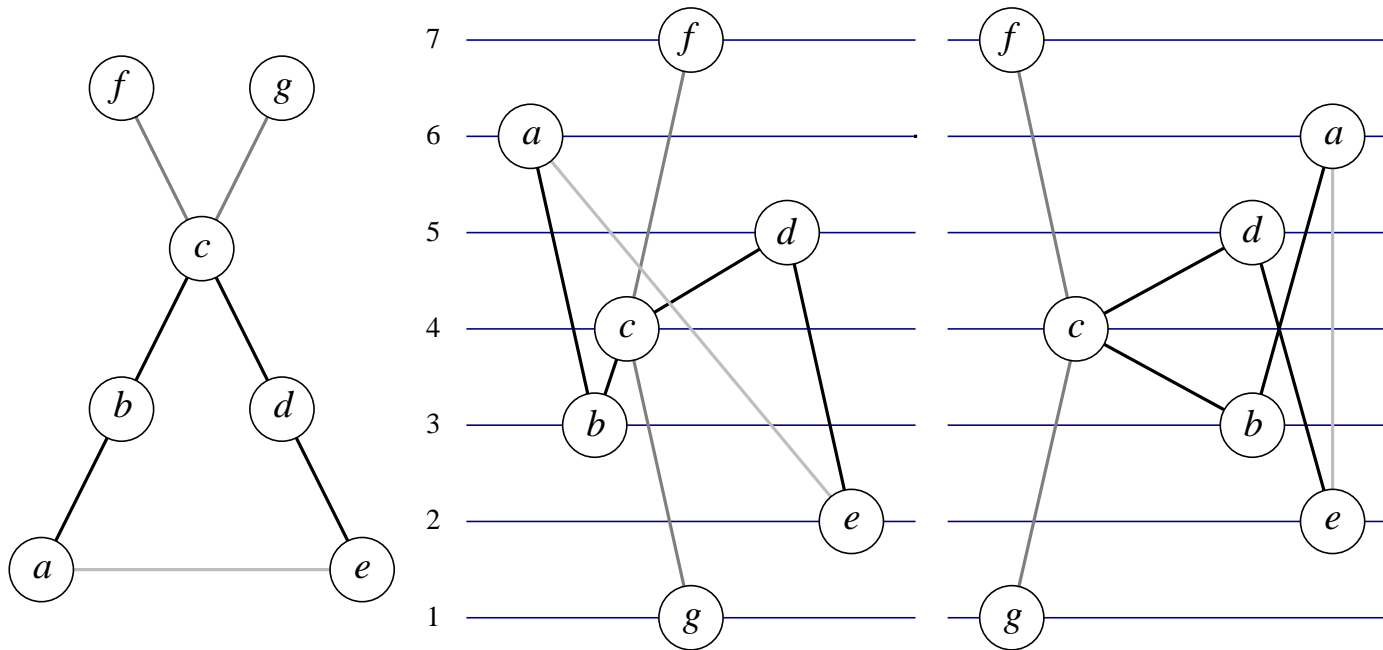
- There are five forbidden ULP subdivisions with cycles
 - ▶ Third has 7 vertices and one 3-cycle and one degree 4 vertex





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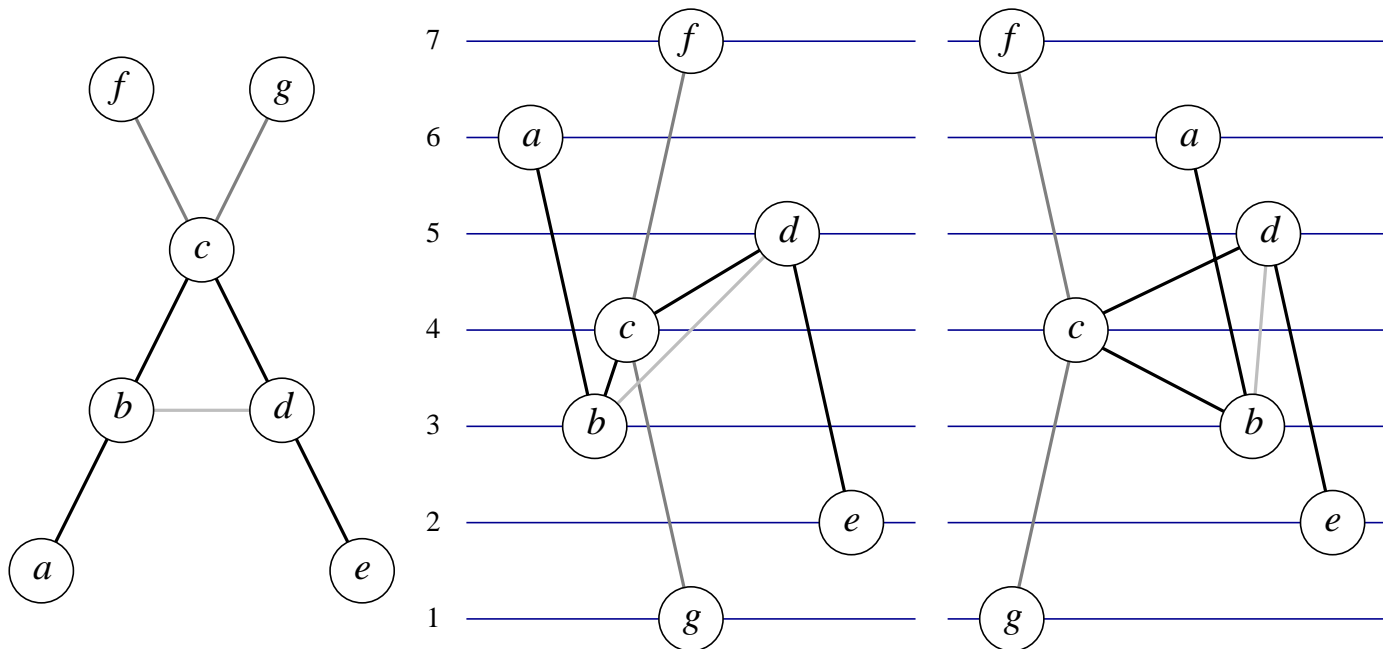
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Thank You!

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